



Fall Compliance Conference

October 28-30, 2025

Schedule of Events

Tuesday, October 28 – General Sessions will be held in the Bison Ballroom

8:15 – 9:00	Registration and Breakfast (Bear Ballroom)
9:00 – 9:10	Welcome
9:10 – 9:55	<i>Post-Election Regulatory Environment</i> , Carl Pry, Senior Advisor, Asurity LLC
9:55 – 10:10	BREAK
10:10 – 10:55	<i>A Compliance Program Integrating and Testing Information Security and Privacy Programs</i> Dr. Kevin Streff, Founder and Managing Partner, American Security and Privacy, LLC
11:00 – 12:00	Breakout Sessions <u>Bison 1</u> <i>Flood</i> Carl Pry, Senior Advisor, Asurity LLC <u>Bison 5</u> <i>Decoding 1071: What we Know, What We Don't and What to Do</i> Adam Witmer, CRCM, MBA, Compliance Cohort, LLC <u>Bear Breakout Room</u> <i>Mortgage Hot Topics</i> Shaun Harms, Principal, Financial Services Practice, Forvis Mazars
12:00 - 1:00	LUNCH
1:00 - 2:00	Breakout Sessions Repeated <u>Bison 1</u> <i>Flood</i> Carl Pry, Senior Advisor, Asurity LLC <u>Bison 5</u> <i>Decoding 1071: What we Know, What We Don't and What to Do</i> Adam Witmer, CRCM, MBA, Compliance Cohort, LLC <u>Bear Breakout Room</u> <i>Mortgage Hot Topics</i> Shaun Harms, Principal, Financial Services Practice, Forvis Mazars
2:05 – 2:20	BREAK
2:20 – 3:05	<i>Strong Roots in Shifting Soil: Modernizing Your CMS in a Deregulatory World</i> Adam Witmer, CRCM, MBA, Compliance Cohort, LLC



The Compliance Hive
Strength in Structure, Power in Unity



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9:00 – 9:10	Welcome
9:10 – 10:10	Breakout Sessions 1. <u>Bison 1</u> <i>Cyber-Enabled Fraud and Reporting Successes</i> Brian Kimball, Supervisory Senior Resident Agent, FBI 2. <u>Bison 5</u> <i>Third Party Risk from a Compliance Perspective</i> John Pace, Manager, CrossCheck Compliance LLC 3. <u>Bear Breakout Room</u> <i>Emerging Trends in Point-of-Sale Financing</i> Joseph J. Schuster, Ballard Spahr LLP
10:10 – 10:25	BREAK
10:25 – 11:10	<i>CFES: The Role of Standards in Bank-Fintech Partnerships</i> Sima Gandhi, Co-founder, Coalition for Financial Ecosystem Standards
11:15 – 12:15	<i>Economic Update</i> Robert Spendlove, Senior Economist, Zions Bank
12:15 – 1:00	LUNCH
1:00 – 2:00	Breakout Sessions 1. <u>Bison 1</u> <i>Cyber-Enabled Fraud and Reporting Successes</i> Brian Kimball, Supervisory Senior Resident Agent, FBI 2. <u>Bison 5</u> <i>Third Party Risk from a Compliance Perspective</i> John Pace, Manager, CrossCheck Compliance LLC 3. <u>Bear Breakout Room</u> <i>Emerging Trends in Point-of-Sale Financing</i> Joseph J. Schuster, Ballard Spahr LLP
2:00 – 2:15	BREAK
2:15 – 3:00	Networking Stations



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Thursday, October 30 - General Sessions will be held in the Bison Ballroom

8:15 – 9:00	Registration and Breakfast (Bear Ballroom)
9:00 – 9:10	Welcome
9:10 – 10:10	<i>Regulator Panel</i> Ty Brian , UDFI Heather Gilliams , FDIC Jason Lew , Federal Reserve Bank of San Francisco Brandon Edminster , OCC
10:10 – 10:25	BREAK
10:25 – 11:10	<i>The Use of AI in Banking</i> Monika McCarthy , Managing Director & General Counsel, CrossCheck Compliance LLC
11:15 – 12:00	<i>Compliance Considerations Based on Current Enforcement, Supervision, and Litigation Trends</i> Joseph Schuster , Ballard Spahr LLP
12:00 – 12:45	LUNCH
12:45 – 1:30	<i>Fair Lending</i> Shaun Harms , Principal, Financial Services Practice, Forvis Mazars
1:35 – 2:20	<i>Navigating the UDAP/UDAAP Minefield: Current State and Federal Trends Impacting Banks and Fintechs</i> Moorari Shah , Partner, Sheppard Mullin
2:15 – 2:30	Closing Comments