



SOCIETY OF
RESEARCH
ADMINISTRATORS
INTERNATIONAL

From Assumed to **Accountable**: How ***Role Clarity*** Reduces Compliance Risk

CODE™ in Action: Understanding Why Compliance
Failures Repeat

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“I thought you were handling that.”



THE REAL PROBLEM

Annotation
Assumed Responsibility \neq Actual Accountability



The CODE™ Framework



When CODE™ is strong, compliance is defensible.

Role **Clarity** Through CODE™

- Competence → Do they know their role?
- **Oversight** → Who verifies?
- Documentation → Who records?
- **Execution** → Who delivers?



CODE™ Breakdown

(Competence)



CODE™ Breakdown

(Oversight)



CODE™ Breakdown

(Documentation)



CODE™ Breakdown

(Execution)

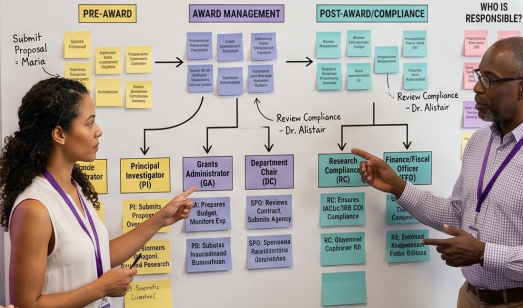


CODE™ Mapping





RESEARCH ADMINISTRATION WORKFLOW: ROLE CLARITY & RESPONSIBILITIES



Why People Avoid Ownership

- Fear
- Unclear **authority**
- Overlap





Building Accountability

- Define ownership
- Define escalation
- Define backup



IF IT'S ASSUMED, IT'S AT RISK.
IF IT'S OWNED, IT'S ACCOUNTABLE.
IF IT'S ALIGNED TO CODE™,
IT'S COMPLIANT.



Final Thought...

Thank you!



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