

SRA INTERNATIONAL ANNUAL MEETING CHICAGO 2024 OCTOBER 26-30

“How Loose Is Your
Goose? Managing
Non-federal Awards”

- Alice Carey Harding, J.D. | Associate Director
- Office of Sponsored Programs - UAB | The University of Alabama at Birmingham
- Jason J. Claes, MBA, MSM, GRCRA | Manager Clinical Research
- TriHealth Cancer and Blood Institute and Medical Specialties | TriHealth, Inc.

Objectives:

Provide resource and information related to grant management of non-federal funds

Grants Management and Compliance

- Non-profit / Foundation
- Industry (Sponsored)

PI / Donor Initiated (institutional)

Challenges / Recommendations

- Clarify Terms/Conditions
- Expectations of Application/RFA
- Institutional Guidelines (support)

Let's Learn From Each Other

- Q&A Session

WHO ARE YOU



ACADEMICS?



NON-PROFIT (I.E.
FOUNDATION AND/OR
AGENCY)?



STAND ALONE
HEALTHCARE?



CONTRACTOR?

WHO ARE YOU



Any project funded with federal funds, either directly from the federal agency (i.e. DHHS, USDA, FDA, DOD, etc.) or passed-through a state or local agency is subject to federal laws and regulations pertaining to grant compliance.



How can you determine if your grant includes federal funds – What Terms are you supposed to follow?

Source of funding from Request for Application (RFA)

Regulatory Requirements

Notice of Grant Award



Black / White – Or Not spelled out?

Room For Interpretation?
Legal Terms vs. Regular Terms

COMPLIANCE/REGULATORY

Approved Proposal / Letter

Guidelines for Progress Reporting

Meeting Milestones

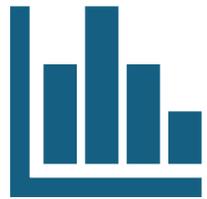
Funding/Institutional Regulations

Law

APPROVED



READING AND UNDERSTANDING THE LETTER



Progress Reporting



Meeting Milestones



Funding & Institutional Regulations

The Law

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- Challenges / Recommendations
 - Clarify Terms/Conditions – Jason (sponsored example)
 - Expectations of Application/RFA – Alice (foundation)
 - Institutional Guidelines (support) – Jason / Alice
 - More likely in foundational to have cost-sharing requirement
 - System challenges regarding award term (time periods)
 - Industry (schedule of events)
 - Indirect Cost Structure(s)
 - Expectations of Collaborators – both internal/external
 - Feasibility Review of facilities/resources/accruals

Learn From
Each Other

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graph TD; A[Learn From Each Other] --- B[Live examples]; A --- C[Audience Participation of scenarios]
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Live examples

Audience
Participation of
scenarios

