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The FDP Expanded Clearinghouse: Making Subrecipient Monitoring Efficient One Profile at a Time

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What is the FDP?



Mission

The Federal Demonstration Partnership (FDP) is an association of federal agencies, academic research institutions with administrative, faculty and technical representation, and research policy organizations that work to **streamline the administration of federally sponsored research.**

What is the FDP?



Membership

- 10 federal agencies
- 217 institutional recipients of federal funds

FDP Success Stories

- **FDP Expanded Clearinghouse**
- “Expanded Authorities”: 90 day pre-award costs, 1-year automatic no-cost extensions, automatic carryforward (some awards)
- FDP Subaward Templates: agreed-upon terms & conditions to streamline the subaward process

What is the FDP Expanded Clearinghouse?

- Publicly available website providing online organizational profiles containing entity-based information needed by pass-through entities (PTEs) when they are issuing subawards or monitoring their subrecipient entities
- Result of the FDP Pilot Project demonstrating 18k+ hours of administrative burden reduction

Participants (332+ profiles and counting)

- All FDP members (216 profiles)
- Non-FDP members who are subject to Single Audit (116 profiles as of September 2023 – US and Canada)

Where is the burden reduction?

- Reduces burden at both proposal and award stages
- Intended to replace unique pass-through entity letter of intent or commitment forms
- FDP-provided sample Letter of Intent and “pushback language”: <https://fdpclearinghouse.org/resources>

Accessing the FDP Expanded Clearinghouse

<https://fdpclearinghouse.org/>



FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

Home **Participating Organizations** Data Access Help FDP Website

FDP Expanded Clearinghouse

Welcome to the FDP Expanded Clearinghouse online system. This public website and user system are the products of an [FDP Pilot Project](#) to test the effectiveness of organization Profiles in lieu of subrecipient commitment forms to obtain information needed by pass-through entities when they are issuing subawards or

What information is available in the organization Profiles?

Data included on each published organization profile has been certified correct by an applicable institutional official and includes data about the entity's non-fringe benefit rates as well as suspension and debarment, PHS financial conflict of interest policy status, Federal Wide Assurance number, other compliance information, a variety of federal codes (DUNS, EIN, CAGE, etc.) and contact information (senior authorized official, FFATA, financial, COI, etc.) that are commonly needed for research. Published profiles can be accessed by clicking on "Participating Organizations" above and are publically available without logging into the system. The FDP updates profiles regularly to ensure the data is current. The accuracy of the Profile information is the responsibility of the Pilot organization.

View the Organization Profiles

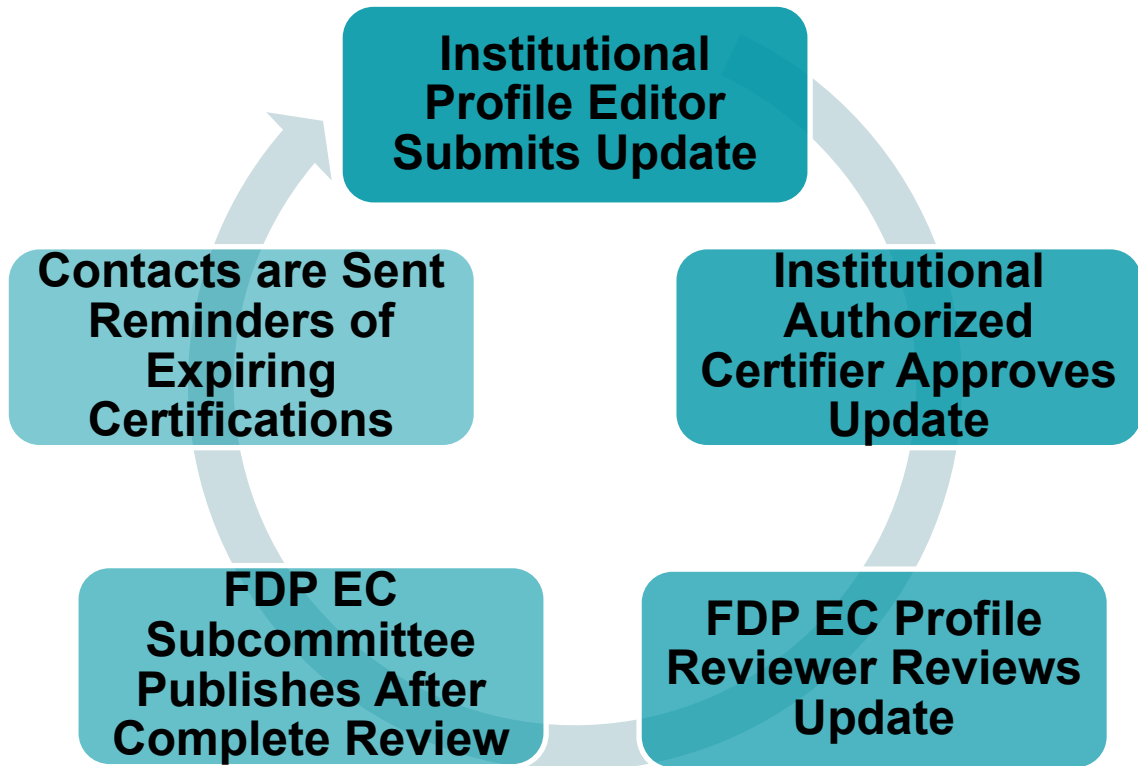
[Find an Organization](#)

Information Available on the FDP Expanded Clearinghouse



- General Information
- Contacts
- Registrations & IDs
- Certifications
- Audits
- Assurances
- Authorization

A peek behind the curtain...



Common Errors

- Broken weblinks
- Uploads that require a login
- SAM.gov expiration
- Outdated comments
- Outdated documents (e.g., rate agreements and Single Audit Reports)
- Incorrect Audit responses

General Information

Legal Entity Name

Common Name

Address

Congressional District

EIN

DUNS

Federal/SAM.gov UEI

Is entity a member of the FDP?

Entity Type

Entity represents that it is a small business concern as defined in 13 CFR 124.1002

Fiscal Period End Date

Payment Address

Link to institution's Office of Sponsored Programs (or equivalent) website

Most Recent Negotiated Facilities & Administrative (F&A) Rate Agreement

Most Recent Negotiated Fringe Benefit Rate Agreement or Organization's Fringe Benefit Guidance Information

General Information (continued)

Primary Audit Entity

Is this entity's Single Audit part of a large comprehensive audit (i.e., state or system audit) as found in the Federal Audit Clearinghouse (FAC)?

Primary Auditee Name

Primary Auditee Employer Identification Number (EIN)

Primary Auditee Contact Person

General Information Resources

Legal Entity Name

- <https://sam.gov/content/home>

Primary Congressional District

- <https://www.house.gov/representatives/find-your-representative>

DUNS/UEIs

- www.usaspending.gov (Profiles → Recipients)

Entity Contacts

- Primary
- Authorized Profile Certifier
- Primary Authorized Signing Official
- SAM Point of Contact / Government Business POC
- Financial Officer
- F&A Cost Rate Negotiation
- Audit
- FFATA

Registration & IDs

Entity Identification Numbers and Codes

Primary Employer Identification Number (EIN)

Primary Data Universal Numbering System (DUNS)

Federal/SAM.gov UEI

IRS Tax Determination Letter

IRS W-9

Primary North American Industry Classification System (NAICS) Code

System for Award Management (SAM) Status

SAM Expiration Date

Department of Defense Registration Numbers

Commercial and Government Entity (CAGE) Code

Department of Education Registration Numbers

Office of Postsecondary Education (OPE) ID Number (formerly known as FICE code)

Integrated Postsecondary Education Data System (IPEDS) Number

Registration & IDs Resources

Office of Postsecondary Education (OPE) ID Number
(formerly known as FICE code)

Integrated Postsecondary Education Data System (IPEDS)
Number

- <https://ope.ed.gov/dapip/#/home>

System for Award Management (SAM) Status

SAM Expiration Date

- <https://sam.gov/content/home>

Commercial and Government Entity (CAGE) Code

- <https://cage.dla.mil/>

Certifications

- Conflict of Interest (PHS, NSF, NASA, Dept of Energy, Other Agencies)
- Affirmative Action
- FFATA Exempt Reporting
- Debarment and Suspension
- Lobbying

Audit

Single Audit

Is entity subject to the Single Audit requirements in 2 CFR part 200?

Most recent fiscal year with completed Single Audit

Is entity qualified as low-risk entity by Auditor or as defined in 2 CFR 200?

Did the entity's most recent Single Audit contain any findings?

Did the entity's most recent Single Audit contain any Significant Deficiencies?

Did the entity's most recent Single Audit contain any Material Weaknesses?

Most recent complete Single Audit Report

Single Audit Report from previous fiscal year

Management letter (if issued)

Audit

For the Year Ended September 30, 2020

Year of Audit

Section I—Summary of Auditor's Results

Financial Statements

Type of report the auditor issued on whether the financial statements audited were prepared in accordance with GAAP:

Unmodified

Internal control over financial reporting:

Material weakness(es) identified?

____ Yes

X No

Significant deficiency(ies) identified?

____ Yes

X None reported

Noncompliance material to financial statements noted?

____ Yes

X No

Material Weakness

Federal Awards

Internal control over major federal programs:

Material weakness(es) identified?

____ Yes

X No

Significant deficiency(ies) identified?

____ Yes

X None reported

Significant Deficiency

Type of auditor's report issued on compliance for major federal programs:

Unmodified

Any findings?

Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)?

____ Yes

X No

Low-risk auditee?

Auditee qualified as low-risk auditee?

____ Yes

X No

Audit (continued)

Department of Defense / Office of Naval Research System Review

Property Management System Audit approval date

Contractor Purchasing System Review (CPSR) approval date

CPSR Expiration Date

Defense Contract Audit Agency (DCAA)

DCAA report on audit of Estimating System approval date

DCAA report on audit of Billing System approval date

DCAA report on audit of Accounting System Internal Control approval date

ONR Administrative Contracting Officer (ACO)

Certification and Audit Resources

Conflict of Interest certification

- Public Health Service (<https://thefdp.org/default/fcoi-clearinghouse/compliant-entities/>)

Audit (most recent and previous year)

- <https://facdissem.census.gov/>

Assurances

Assurances

Human Subjects

US Department of Health and Human Services / Office of Human Research Protection (HHS/OHRP)

Does entity have HHS/OHRP Human Subjects Federal Wide Assurance (FWA) approval? Yes

Primary HHS / OHRP Human Subjects FWA Number 00000

Primary Department of Defense (DOD) Human Subjects FWA Addendum Not Applicable

Association for the Accreditation of Human Research Protection Programs (AAHRPP)

Is entity AAHRPP accredited? No

Assurances (continued)

Animal Subjects

Public Health Service / Office of Laboratory Animal Welfare (PHS/OLAW)

Does entity have PHS/OLAW approval?

Primary PHS/OLAW Assurance Number

Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC)

Is entity AAALAC accredited?

Primary AAALAC Accreditation Assurance Number

US Department of Agriculture (USDA) Research Registration

Does the entity have a USDA Research Registration number?

Primary USDA Research Registration Number

Assurance Resources

USDA

Link to list: https://www.aphis.usda.gov/animal_welfare/downloads/List-of-Active-Licensees-and-Registrants.pdf

General page: <https://www.aphis.usda.gov/aphis/ourfocus/animalwelfare/new-lic>

Primary HHS / OHRP Human Subjects FWA Expiration Date
<https://ohrp.cit.nih.gov/search/fwasearch.aspx>

Is entity AAHRPP accredited?
<https://www.aahrpp.org/find-an-accredited-organization>

Primary PHS/OLAW Assurance Number
<https://olaw.nih.gov/assured/app/index.html>

Is entity AAALAC accredited?
<https://www.aaalac.org/accreditation-program/directory/directory-of-accredited-organizations/>

Getting Help (echelp@thefdp.org)


Home Participating Organizations Data Access **Help** Resources FDP Website

Getting Help

Instructions

[Click here](#) to access the Instruction Manual for Users. Please note this manual is intended for organizations preparing to join the Expanded Clearinghouse and covers profile creation, completion and publishing. General public use (without a login) is covered in sections 9 of the manual.

[Click here](#) to access the Organizational Data Entry Worksheet, an optional tool available to aid institutions who wish to collect their information prior to entering data into the Expanded Clearinghouse.

Throughout the site, you may click on the  question mark icons to go directly to a specific section of the instructions.

Contact Us

Questions, problems, or suggestions? (login required)

[Send us a message](#)

Or email echelp@thefdp.org

Instructional Video

 [Profile Management in the FDP Expanded Clearinghouse](#)

This video will aid new Expanded Clearinghouse institutions in creating and editing institutional profiles. Originally offered as a Zoom session on June 13, 2019, it should prove helpful to those interested in learning how to create users, complete an institutional profile, certify and submit new and edited profiles, and seek help when necessary. Those interested in joining the Expanded Clearinghouse should contact ExpClearinghouse@thefdp.org.

Joining the Expanded Clearinghouse

The FDP is inviting a limited number of non-FDP members to participate in the Expanded Clearinghouse on a quarterly basis. Annual subscription fees for Expanded Clearinghouse participation by non-FDP members are \$1000 per year for 2021-2023 and \$1500 per year for 2024-2026 and are pro-rated if joined mid-year.

The Expanded Clearinghouse is currently only able to accommodate Single Audit entities. FDP has not made a decision at this time as to when non-Single Audit entities will be invited into the Clearinghouse.

Organizations interested in joining the Expanded Clearinghouse can learn more about the requirements by reviewing the [Profile Participation Agreement](#) and by adding themselves to the waitlist for consideration of future enrollment [here](#).

More Information

More information about the FDP Expanded Clearinghouse Subcommittee and activities can be found on the [FDP Website](#).

Profile Participation Agreement

[Click Here](#) to review the Profile Participation Agreement.

January 2024 System Updates

- Removal of the DUNS field
- SAM expiration date auto-update
 - Auto-update will only occur when the organization's SAM registration is active and the SAM expiration date is later than the Clearinghouse SAM date
 - Participants will be able to turn off auto-updating and update manually
- Expansion to allow participants in Australia & the UK that are subject to the Single Audit, or a Program-Specific Audit under the Uniform Guidance
- Expansion to allow participants not subject to the Single Audit (NSAP Pilot)
- Invoicing contact for non-FDP participants
 - Located on the Contacts tab
 - For use internally, but not visible on the public profile

Ongoing and Future Initiatives

Ongoing initiatives include:

- Expansion of non-FDP member entities
- Accommodation for additional non-US single audit institutions
- Data integrity enhancements – validations/notifications
- Raising awareness of Clearinghouse with Federal agencies

Future initiatives include:

- Interfaces from federal data systems like SAM.gov & General Services Administration (after single audit migration from Audit Clearinghouse)
- NSPM-33 Research Security Certification

Want to join the FDP Expanded Clearinghouse?

- Invitations sent twice a year to Single-Audit entities.
- Annual subscription fees are \$1500 per year for 2024-2026, pro-rated if joining mid-year.
- Wait list:

https://nas.qualtrics.com/jfe/form/SV_e3QgjcDb8dusUm

FDP Expanded Clearinghouse Subcommittee

- Co-Chairs:
 - Amanda Hamaker, Purdue University
 - Robert Prentiss, Yale University
 - Jennifer Rodis, University of Wisconsin - Madison
- Profile Reviewers (led by Robert Prentiss):
 - Emily Lacy, University of Texas Dallas
 - Jackie Lucas, Beckman Research Institute City of Hope
 - Carrie MacCue - The Research Foundation for the State University of New York
 - Tamera Mace, University of Central Florida
 - Jennifer Rodis, University of Wisconsin - Madison

Contact Us

Subcommittee Webpage:

- <https://thefdp.org/committees-subcommittees/research-administration-committee/expanded-clearinghouse-subcommittee/>

General Questions: ExpClearinghouse@thefdp.org