

REGULATION OF SECURITIES COMMITTEE
REPORT PREPARED FOR THE SEPTEMBER 26, 2013 COUNCIL MEETING

1. Next Scheduled Meeting of the Committee.

The next scheduled meeting of the Committee is on October 3, 2013. See the attached meeting agenda.

2. Council Approval.

No approval is being requested at this time.

3. Membership.

Members are added periodically, including women and minorities.

4. Accomplishments Toward Committee Objectives.

The Committee intends to address ways to encourage the submission of securities articles for publication in *The Michigan Business Law Journal*, Spring 2014 edition. Earlier this year, members of the Committee met with representatives of MLARA regarding the restructuring of the office responsible for regulating securities.

5. Meetings and Programs.

The Committee will plan a meeting and program schedule at its next meeting.

6. Publications.

The theme of *The Michigan Business Law Journal*, Spring 2014 edition, will be the Regulation of Securities Committee.

7. Methods of Monitoring Legislative/Judicial/Administrative Developments and Recommended Action

The Committee intends to reassess its methods of monitoring developments relative to securities law issues.

8. Miscellaneous.

No miscellaneous matters to report.

Respectfully submitted, Patrick J. Haddad, Kerr, Russell and Weber, PLC
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Meeting Agenda
Regulation of Securities Committee
Business Law Section of the State Bar of Michigan

Meeting Date/Time: Thursday, October 3, 2013, 4 p.m. – 5:30 p.m.

Meeting Location: Dykema Gossett PLLC
39577 Woodward Avenue, Suite 300
Bloomfield Hills, MI 48304
(248) 203-0700

Conference Call Number: Toll-Free: (866) 906-0123
Pass code: 5942092

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| 1. Welcome and Introductions | Patrick Haddad |
| 2. Restructuring of MLARA Corporations,
Securities & Commercial Licensing Bureau | MLARA Representative(s) &
Shane Hansen |
| 3. Federal M&A Broker Legislation | Shane Hansen |
| 4. SEC Jobs Act Regulations | Jonathan Berg |
| 5. Updates on Foreign Corrupt Practices Act and
SEC Whistleblower Rules | Matthew Allen |
| 6. Michigan Business Law Journal, Spring 2014 | Patrick Haddad |
| a. Primary theme—Regulation of Securities Committee | |
| b. Article deadline—November 30, 2013 | |
| c. Committee's role | |
| 7. Committee's 2014 Meeting Schedule | Patrick Haddad |
| a. Late Winter/Spring | |
| b. Late Summer/Fall | |
| 8. Volunteers for Committee Work | Patrick Haddad |
| a. Presentations at future Committee meetings | |
| b. Monitoring legislative/judicial/administrative developments | |
| 9. Other Business | Patrick Haddad |
| a. Upcoming securities programs | |
| b. Encouraging new Committee membership | |
| 10. Adjournment | Patrick Haddad |