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2023

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RECIFE: an advanced platform
for the design and test of
railway traffic
management optimization



Université
Gustave Eiffel

Context

Context

RECIFE

Success stories

Conclusions and
Perspectives

Currently, little optimization is deployed **in practice** in railway planning and management processes

However, the **willingness** of infrastructure managers to move toward it is clearly increasing

Academic researchers have been working for many years to make optimized traffic management **possible**

Context

In this context,
the traffic management team of the
COSYS-ESTAS laboratory of
Université Gustave Eiffel
has been working on the
RECIFE platform
for more than 20 years



RECIFE deals on railway traffic management, **broadly speaking**

This presentation focuses on **real-time traffic management**

Real-time traffic management

It aims at **minimizing the impact** of perturbations

It comes at the end of a long traffic management and planning **process** (including infrastructure design, line planning, timetabling, ...)

The railway system¹ has some characteristics that make traffic management **critical and complex**, e.g. :

- ▶ timetables can be extremely **dense**
- ▶ **mixed** traffic often share common tracks (freight, conventional passenger, high speed trains)
- ▶ railway is invested of a high economic and societal **responsibility**, to allow sustainable mobility and accessibility

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Deciding traffic management measures to minimize the impact of perturbations

Context

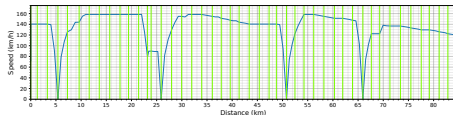
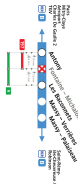
RECIFE

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Various interpretations depending on : **Decisions**

routing, passing orders, departure times, speed profiles, stop patterns, ...



Deciding traffic management measures to minimize the impact of perturbations

Context

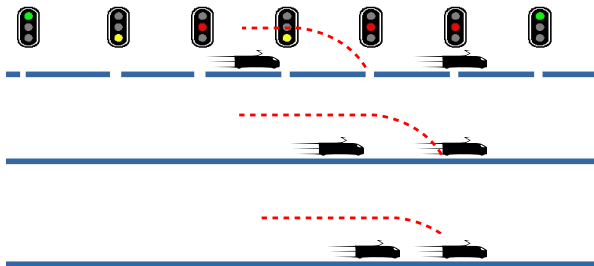
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Conclusions and Perspectives

Various interpretations depending on : **System**

current, planned, envisaged, ...



Deciding traffic management measures to minimize the impact of perturbations

Context

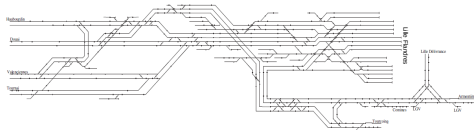
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Various interpretations depending on : **Perimeter**

big station, network with many small stations, mixed traffic network, ..., urban service, high speed, mixed, ...



Deciding traffic management measures to minimize the impact of perturbations

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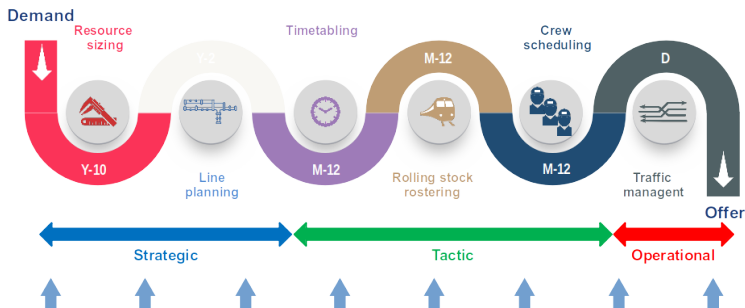
RECIFE

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Conclusions and Perspectives

Various interpretations depending on : **Decision making**

only real-time traffic management, whole transport planning process, ...

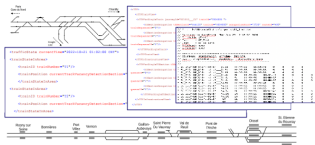


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RECIFE-CPI

$$\min \sum_{i \in I} (z_i^{top} + z_i^{bot}) \quad (1)$$

$$\sum_{i \in I} z_i = 1 \quad \forall i \in I \quad (2)$$

$$f_i(z_i) = 1 \Rightarrow \text{pres}(z_i) = 1 \quad \forall i \in R, M \in FDF \quad (3)$$

$$f_i(z_i) = 1 \Rightarrow \text{pres}(z_i) = 1 \quad \forall i \in R, M \in FDF \quad (4)$$

$$\text{pres}(z_i) \geq \text{pres}(z_j) \quad \forall i, j \in R, M \in FDF \quad (5)$$

RECIFE-MILP

$$\min \sum_{i \in I} z_i D_i \quad (1)$$

$$z_i \in \{0, 1\} \quad \forall i \in I \quad (2)$$

$$z_i \in \{0, 1\} \quad \forall i \in R, M \in FDF \quad (3)$$

$$z_i \in \{0, 1\} \quad \forall i \in R, M \in FDF \quad (4)$$

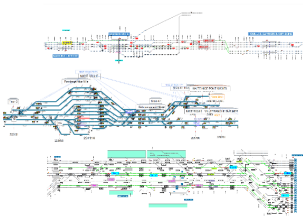
$$z_i \in \{0, 1\} \quad \forall i \in R, M \in FDF \quad (5)$$



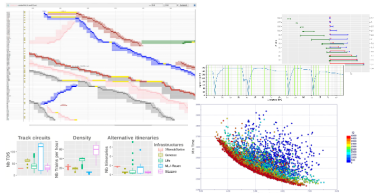
Models & Algorithms

RECIFE

Simulators



Tools & Interfaces



Peculiarities : integration of optimization and simulation

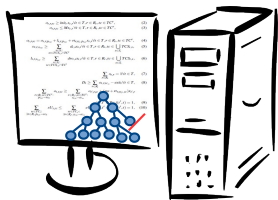
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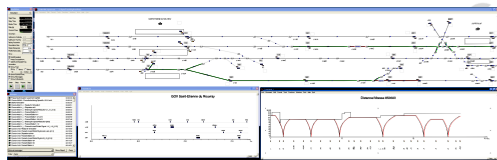
Optimization



decision making
simplification hypotheses

and

Simulation



application of predefined rules
fewer simplifications

Peculiarities : integration of optimization and simulation

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Our studies often integrate **optimization and simulation**

Strength

Analyses and conclusions are less dependent on modeling hypotheses

Challenge

Master concurrently optimization techniques, simulation tools, APIs

Deal with **technical issues** for integrating natively independent modules

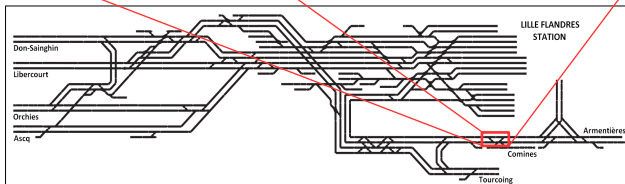
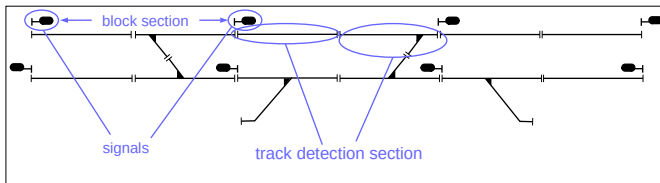
Peculiarities : microscopic infrastructure model

Context

RECIFE

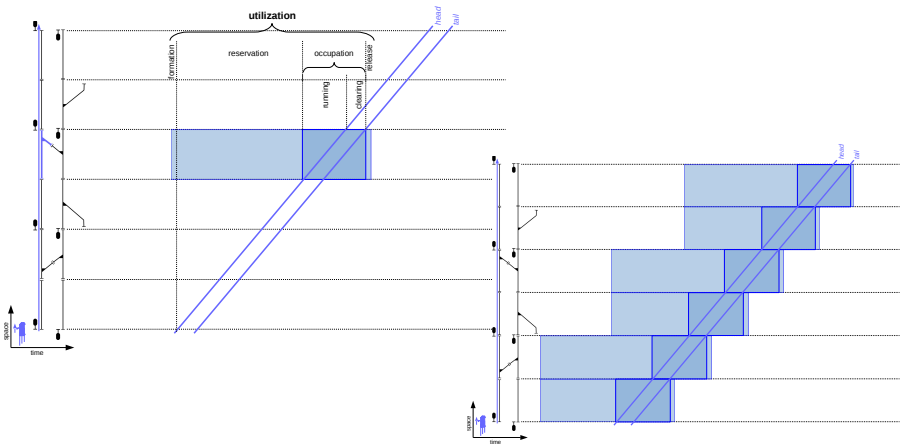
Success stories

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Peculiarities : microscopic infrastructure model

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- RECIFE
- Success stories
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Peculiarities : microscopic infrastructure model

Our models :

- ▶ use all information on **infrastructure topology and train capabilities**
- ▶ implement the **route-lock sectional-release interlocking** system

Strength

Full exploitation of infrastructure capacity

Challenge

Effectively deal with big data sets

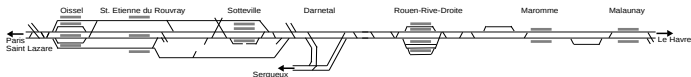
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Peculiarities : exploitation of local rerouting



→ up to ~ 300 alternative routes

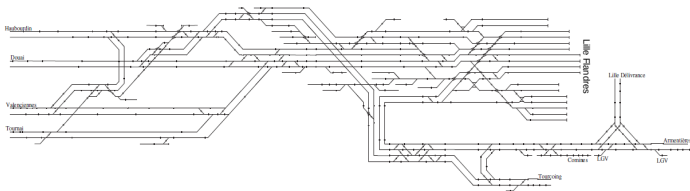
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up to ~ 450 ← alternative routes



Peculiarities : exploitation of local rerouting

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→ up to ~ 300

alternative routes

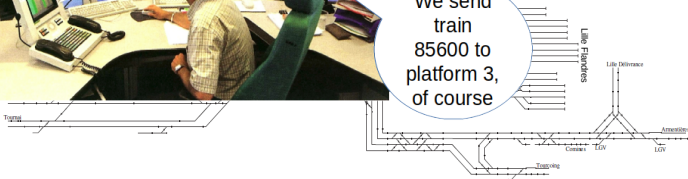
Train 85600 is late, we may send it to platform 3

We never send train 85600 to platform 3

Train 9010 will leave late

We send train 85600 to platform 3, of course

up to ~ 450 alternative routes



Peculiarities : exploitation of local rerouting

Our models are meant to be able to deal with **large sets of alternative routes**

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Strength

Full exploitation of infrastructure capacity

Challenge

Effectively deal with large instances

Solve a routing and scheduling problem

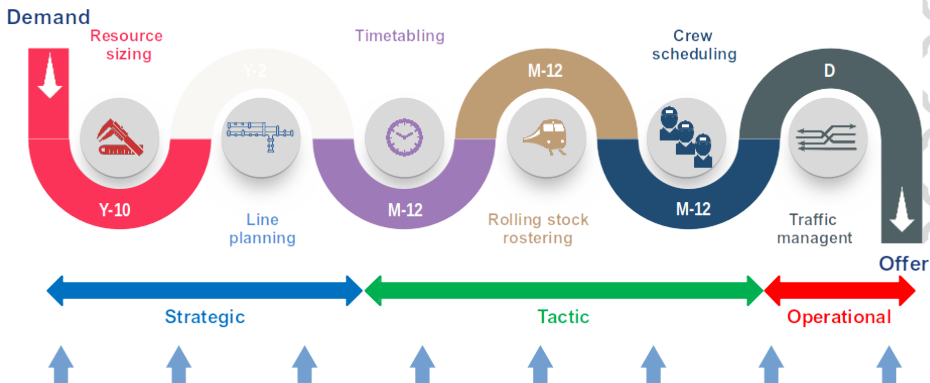
Peculiarities : model consistency

Context

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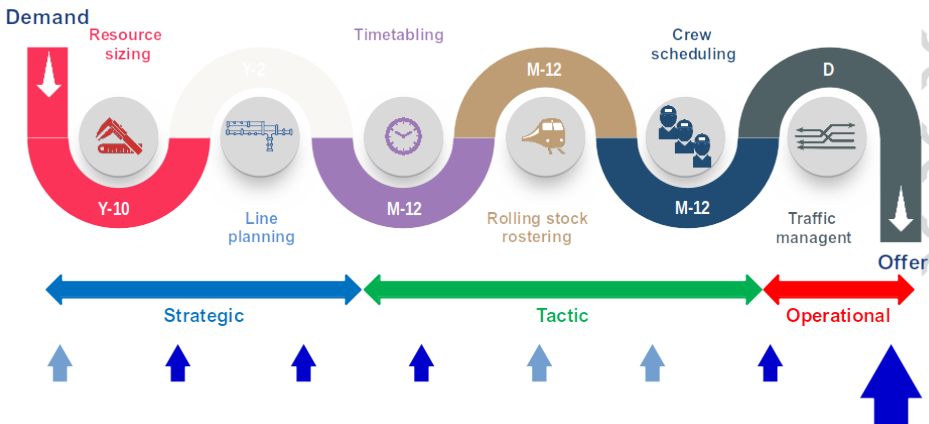
Success stories

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Peculiarities : model consistency

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Peculiarities : model consistency

Our models of different problems are designed to be **consistent**

Some problems are considered **jointly**

Strength

Decisions made in different stages are coherent

Lessons learned can be generalized, to some extent

Better solutions may exist for joined rather than sequential problems

Challenge

Understand what lessons learned can be **generalized**

Deal with increased **complexity** of problems tackled jointly

Success stories

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In the years, we have used RECIFE for a number of **applications**

They have stimulated the **design and development** of advances

I consider many of them success stories

In the following, some **examples**

RECIFE-MILP

Mixed integer linear programming-based algorithm for routing & scheduling

It has been integrated with **simulators**, in open² and closed-loop³

It has achieved the **best results** in the benchmarking possible so far²

A variant is currently being used in the *SORTEDmobility* project : intelligent trains formulate traffic management hypotheses for self-organization

-
2. SIGIFRET project
 3. ONTIME project

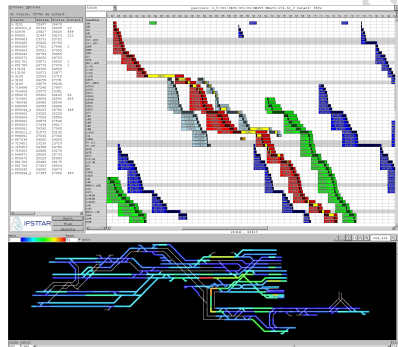


RECIFE-CP, RECIFE-CPI and hybridization

RECIFE-CP : **constraint programming** for train routing & scheduling

First studies with RECIFE in the early 2000 :

- ▶ optimization and simulation
- ▶ microscopic model
- ▶ specific focus on train rerouting
- ▶ variants for
 - real-time traffic management
 - timetabling
 - saturation problems



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RECIFE-CP, RECIFE-CPI and hybridization

RECIFE-CP : **constraint programming** for train routing & scheduling

RECIFE-CPI : evolution of RECIFE-CP exploiting **time-interval variables**

Hybrid RECIFE-CPI & RECIFE-MILP : exploitation of

- ▶ MILP scheduling strength
- ▶ CPI ability to explore very large search spaces


$$\begin{aligned} \min \sum_{i \in T} w_i D_i, & \quad (1) \\ a_{r,jk} & \geq lb(a_{i,r}) \forall i \in T, r \in R_i, ic \in TC^c, & (2) \\ a_{r,jk} & \leq M(a_{i,r}) \forall i \in T, r \in R_i, ic \in TC^c, & (3) \\ a_{r,jk} & = a_{i,r} p_{ik} + h_{i,r} p_{ik} + M_{TSC}(a_{i,r}) \forall i \in T, r \in R_i, ic \in TC^c, & (4) \\ a_{r,jk} & \geq \sum_{i \in S} d_{i,r} a_{i,r} \forall i \in T, r \in R_i, ic \in \bigcup_{S \in S} TCS_{S,ic}, & (5) \\ h_{r,jk} & \geq \sum_{i \in S} d_{i,r} a_{i,r} \forall i \in T, r \in R_i, ic \in \bigcup_{S \in S} TCS_{S,ic}, & (6) \\ \sum_{r \in R} x_{i,r} & = 1 \forall i \in T, & (7) \end{aligned}$$

RECIFE-CP, RECIFE-CPI and hybridization

Hybrid RECIFE-CPI & RECIFE-MILP

Context

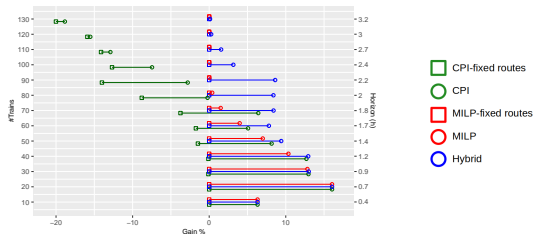
RECIFE

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Percentage improvement w.r.t. a reference solution

- Lille Flandres (e.g.)
- 3 min computation
- ↑ increasing # trains
- up to 3.5 h (130 trains)



- ▶ the more **on the right** the dot, the higher the improvement
- ▶ the **longer** the line, the larger the contribution of rerouting

Traffic smoothing in Paris suburban network

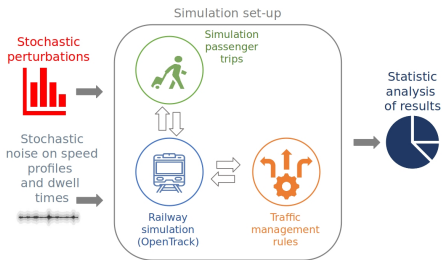
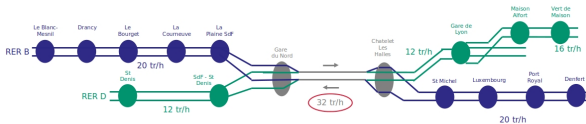
Assessment in simulation (trains + passengers) of different rules⁴

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Conclusions

RECIFE : software platform to create and assess **tools for optimizing** railway traffic management problems

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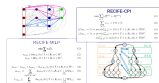
Conclusions and Perspectives

RECIFE main peculiarities :

- ▶ optimization and simulation
- ▶ microscopic model
- ▶ local rerouting
- ▶ problem consistency



Case studies



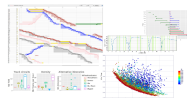
Models & Algorithms

RECIFE

Simulators



Tools & Interfaces



It allowed doing interesting things and allows thinking of many very interesting **new challenges** to take

Perspectives : improved traffic management quality

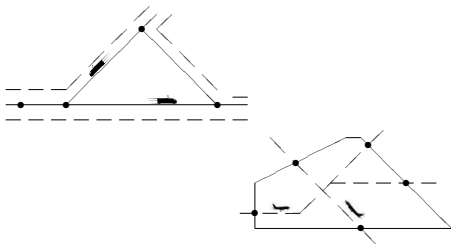
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Exploit the constantly evolving **state of the art** in optimization and computer science



- ▶ new techniques
- ▶ cross-fertilization
- ▶ improved computing power
- ▶ ...

We need to carry on **improving** our algorithms and design **new** ones

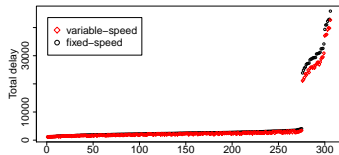
Perspectives : realistic models

Keep studying the ability of the models to **represent reality** :

- ▶ is a solution that **appears** good in the model **actually** good in reality ?
- ▶ are optimization decisions good despite the unavoidable **imprecision** of input data ?
- ▶ are we including all **critical aspects** of the problems ?
- ▶ what is the impact of omitting some aspects ?

We need to **answer** these questions

We need to try to improve the models
based on these answers



Perspectives : different simulators

Continue integrating and testing with different simulators, **not to be biased** by their modeling assumptions⁵

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- ▶ Opentrack
- ▶ Flatland
- ▶ RailLab
- ▶ Trenissimo
- ▶ EGTrain
- ▶ SimMobility
- ▶ Brave
- ▶ ...
- ▶ OSRD



We need to **solve** the new technical issues arising

We need to find out how to **analyze** the difference in the results

5. List in imprecise order, representing the current level of integration with RECIFE

Perspectives : many case studies

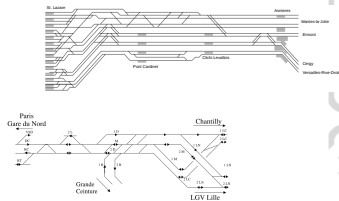
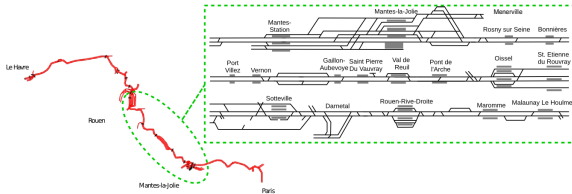
Keep increasing the panel of case studies for assessment,
**to be able to generalize conclusions
and understand links case study / performance**

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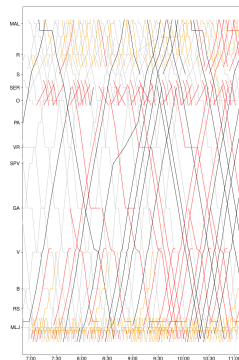


We need to struggle with **data collection, modeling and validation**

We need to find out how to **analyze** the difference in the results

Perspectives : various problems

Advance in the modeling and solution of problems emerging at different stages of the transport planning process



We need to design and study **pertinent models and algorithms**

We need to understand the **links** between problems

We need to devise **feedback loops** to exploit knowledge from all stages

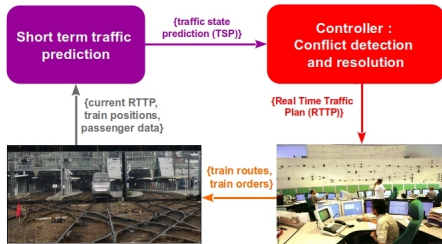
Perspectives : process design impact

Study how the design of traffic management processes impacts on how optimization needs, e.g. :

- ▶ human in the loop
- ▶ optimization triggers
- ▶ computational times
- ▶ actors involved
- ▶ ...

We need to understand the field **requirements and options**

We need to find out how optimization can and shall **fit** them



Thank you all for the attention, and for your questions and comments (now or later)

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Please contact me if you'd like to join the team in some way, or pass the message to brilliant master and PhD students you may know !

Special thanks to all RECIFE **designers and contributors**⁶ :

Joaquin Rodriguez, Grégory Marlière, Sonia Sobieraj Richard, Paola Pellegrini, Diego Arenas Pimentel, Raffaele Pesenti, Pierre Hosteins, Marcella Samà, Teresa Montrone, Nicola Coviello, Kaba Keita, Franck Kamenga, Federico Naldini, Bianca Pascariu, Matteo Petris, Xiajie Yi, Bishal Sharma, Nina Versluis

6. Non-exhaustive list of Université Gustave Eiffel members (visiting or more), in more or less chronological order, since 2010

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Some references II

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Some references III

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