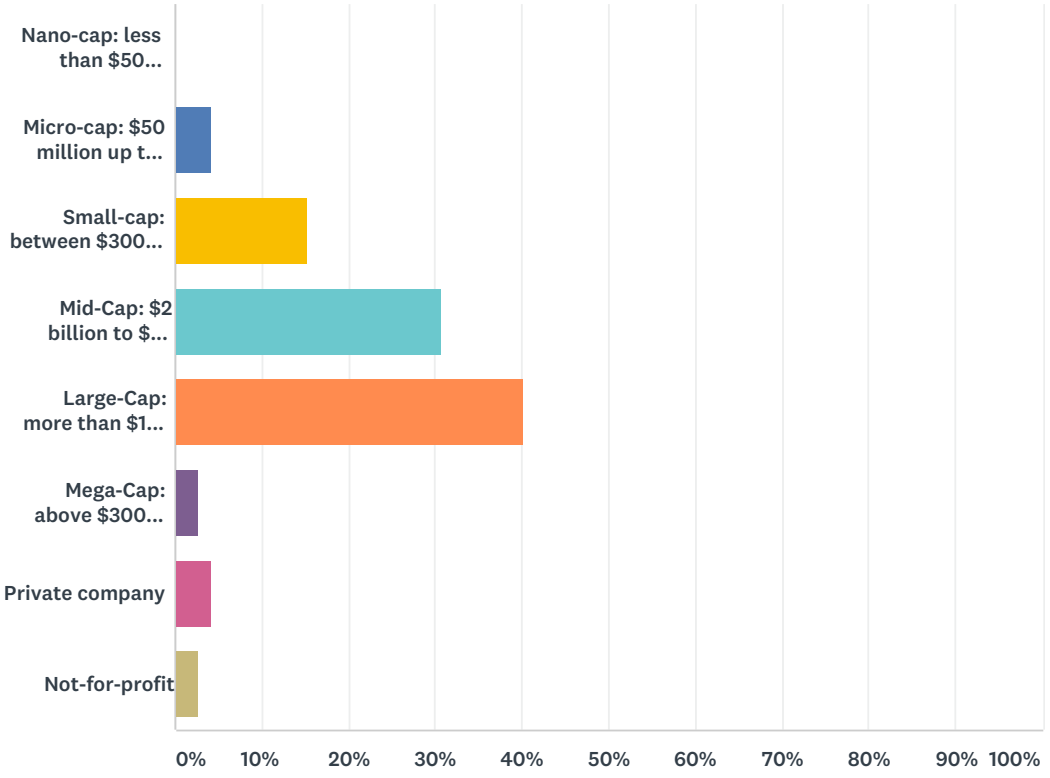
Q1 Please identify your company's market cap if publicly-traded, or private or not-for profit company status:

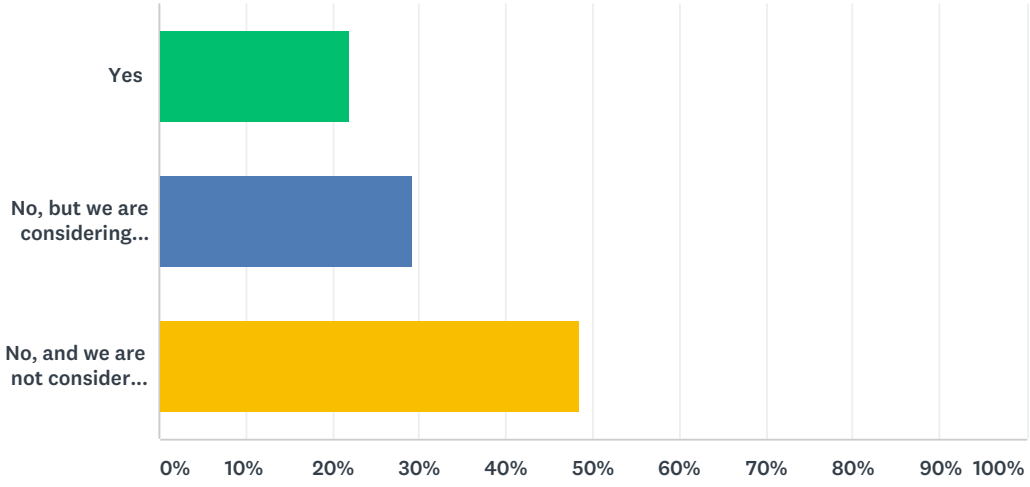
Answered: 189 Skipped: 1



ANSWER CHOICES	RESPONSES	
Nano-cap: less than $50 million	0.00%	0
Micro-cap: $50 million up to $300 million	4.23%	8
Small-cap: between $300 million and $2 billion	15.34%	29
Mid-Cap: $2 billion to $10 billion	30.69%	58
Large-Cap: more than $10 billion up to $300 billion	40.21%	76
Mega-Cap: above $300 billion	2.65%	5
Private company	4.23%	8
Not-for-profit	2.65%	5
TOTAL		189

Q2 Does your company have a senior-level ESG committee?

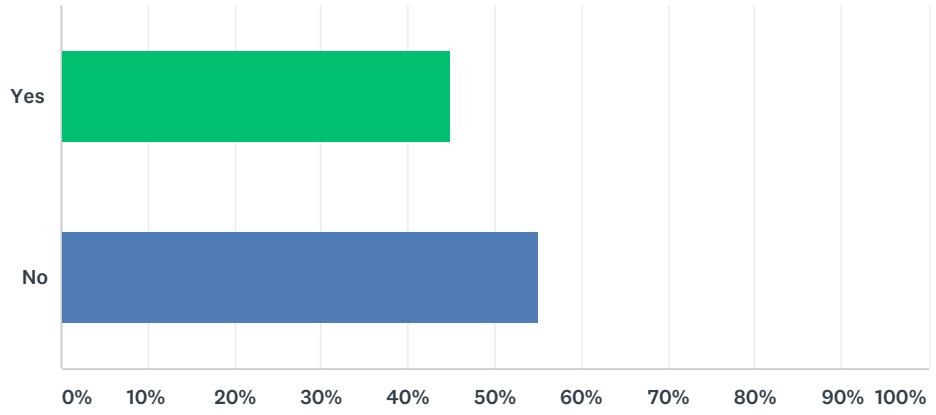
Answered: 177 Skipped: 13



ANSWER CHOICES	RESPONSES	
Yes	22.03%	39
No, but we are considering forming one	29.38%	52
No, and we are not considering forming one	48.59%	86
TOTAL		177

Q3 Does your ESG committee have a formal charter?

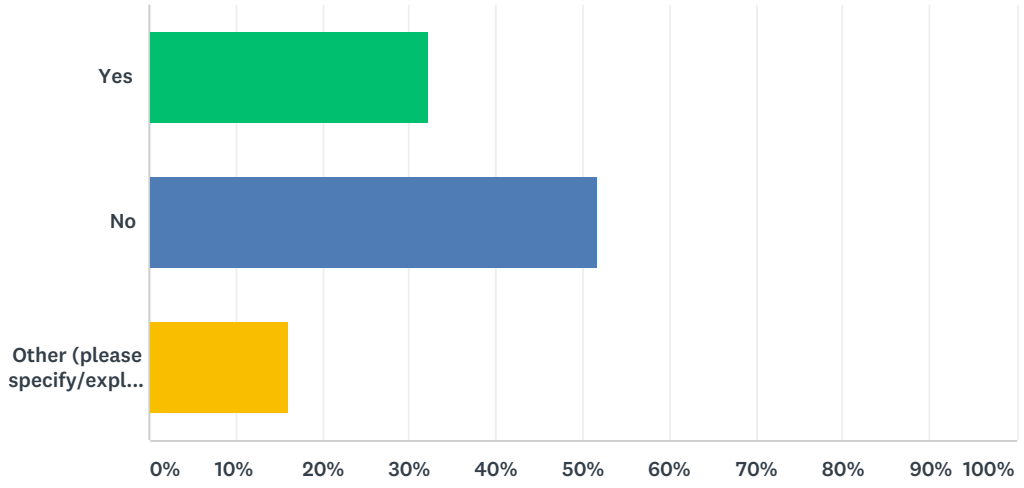
Answered: 40 Skipped: 150



ANSWER CHOICES	RESPONSES	
Yes	45.00%	18
No	55.00%	22
TOTAL		40

Q4 If your company has a formal committee structure used to govern management-level committees, does your ESG committee fall under that structure?

Answered: 31 Skipped: 159

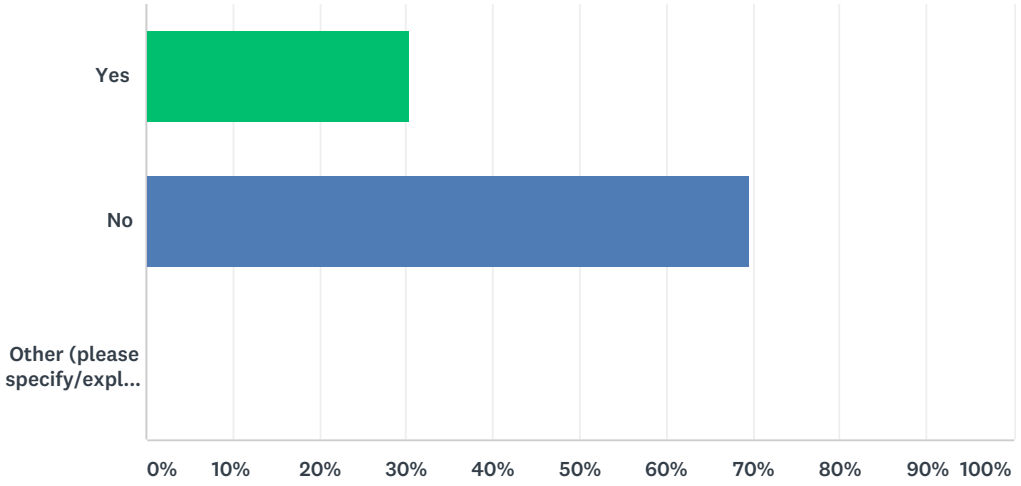


ANSWER CHOICES	RESPONSES	
Yes	32.26%	10
No	51.61%	16
Other (please specify/explain:)	16.13%	5
TOTAL		31

#	OTHER (PLEASE SPECIFY/EXPLAIN:)	DATE
1	N/A	7/10/2019 5:22 PM
2	N/A (we don't have a formal committee structure)	7/9/2019 10:49 AM
3	N/A - we do not have a formal committee structure	7/8/2019 6:12 PM
4	not applicable	7/8/2019 3:21 PM
5	no such structure	7/8/2019 2:04 PM

Q5 Does your ESG committee have formal procedures (e.g., minutes, reporting to the board or a committee)?

Answered: 33 Skipped: 157

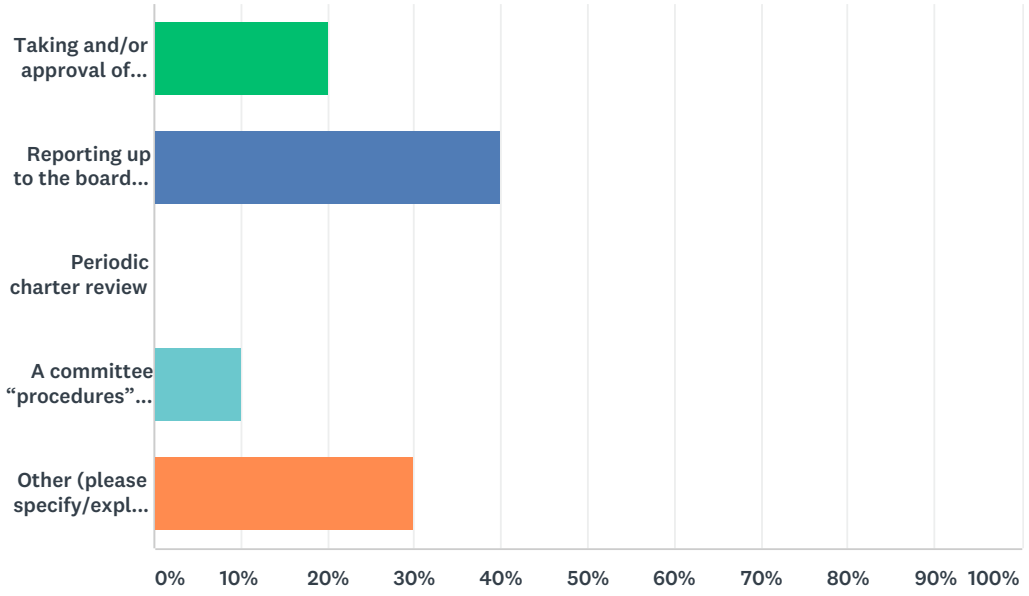


ANSWER CHOICES	RESPONSES	
Yes	30.30%	10
No	69.70%	23
Other (please specify/explain:)	0.00%	0
TOTAL		33

#	OTHER (PLEASE SPECIFY/EXPLAIN:)	DATE
	There are no responses.	

Q6 Does your ESG committee have formal procedures (e.g., minutes, reporting to the board or a committee)?

Answered: 10 Skipped: 180

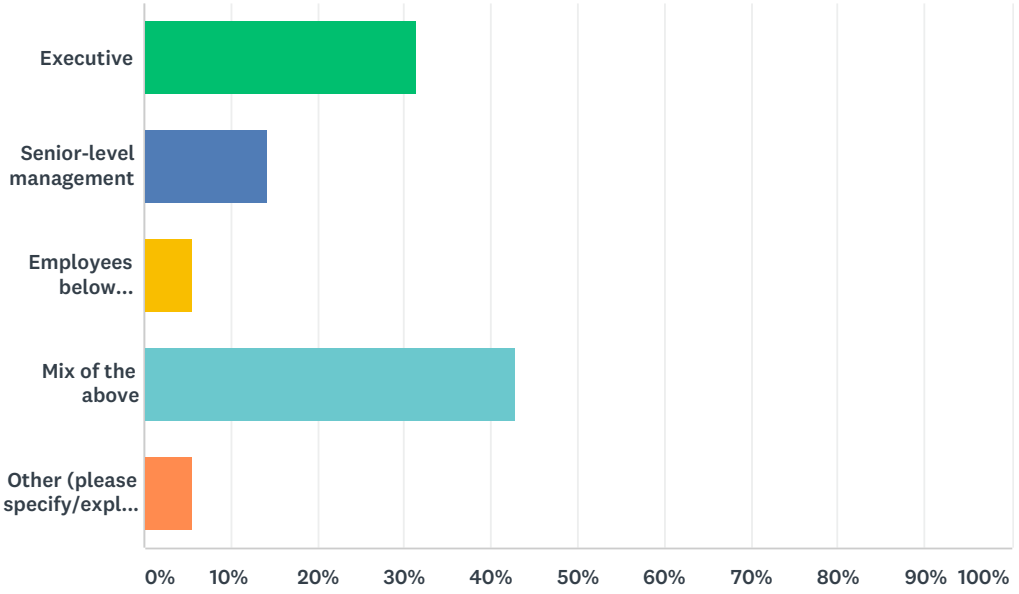


ANSWER CHOICES	RESPONSES	
Taking and/or approval of minutes	20.00%	2
Reporting up to the board or a committee	40.00%	4
Periodic charter review	0.00%	0
A committee "procedures" document	10.00%	1
Other (please specify/explain:)	30.00%	3
TOTAL		10

#	OTHER (PLEASE SPECIFY/EXPLAIN:)	DATE
1	All of the above	7/8/2019 4:39 PM
2	Yes, all of the above.	7/8/2019 3:26 PM
3	NA	7/8/2019 2:44 PM

Q7 What level of employees sit on your ESG committee?

Answered: 35 Skipped: 155

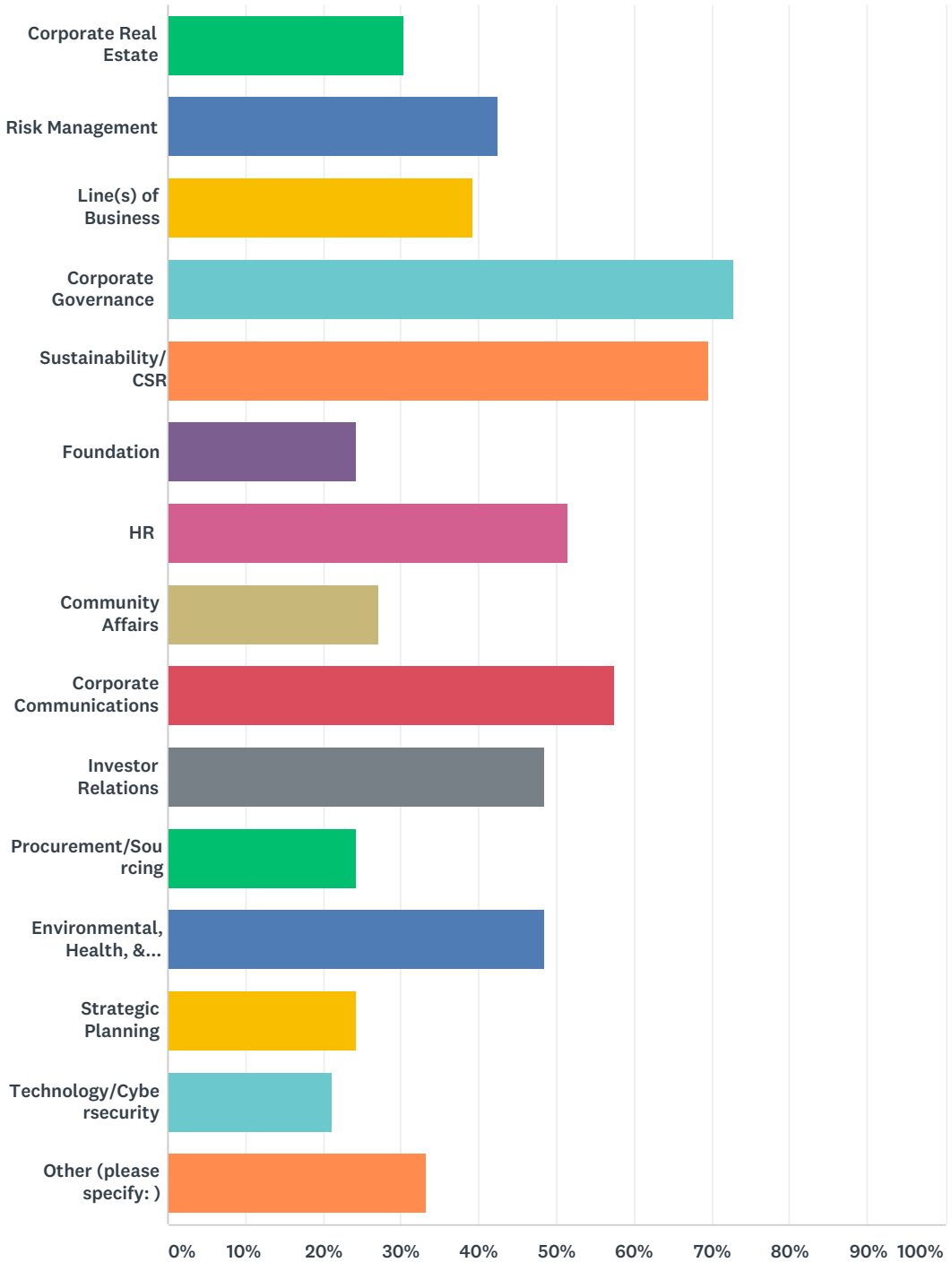


ANSWER CHOICES	RESPONSES	
Executive	31.43%	11
Senior-level management	14.29%	5
Employees below senior-level management	5.71%	2
Mix of the above	42.86%	15
Other (please specify/explain:)	5.71%	2
TOTAL		35

#	OTHER (PLEASE SPECIFY/EXPLAIN:)	DATE
1	Mostly executive and senior-level management	7/8/2019 4:25 PM
2	Executive and Senior Level Management	7/8/2019 3:27 PM

Q8 What roles/areas sit on the ESG committee? (Check all that apply)

Answered: 33 Skipped: 157



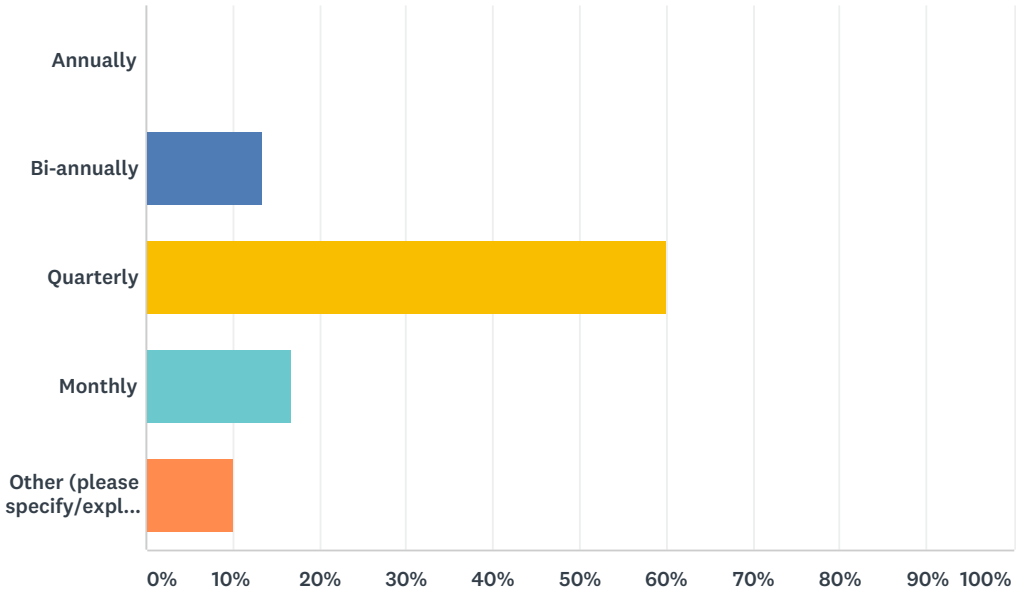
ANSWER CHOICES	RESPONSES	
Corporate Real Estate	30.30%	10
Risk Management	42.42%	14
Line(s) of Business	39.39%	13

Corporate Governance	72.73%	24
Sustainability/CSR	69.70%	23
Foundation	24.24%	8
HR	51.52%	17
Community Affairs	27.27%	9
Corporate Communications	57.58%	19
Investor Relations	48.48%	16
Procurement/Sourcing	24.24%	8
Environmental, Health, & Safety	48.48%	16
Strategic Planning	24.24%	8
Technology/Cybersecurity	21.21%	7
Other (please specify:)	33.33%	11
Total Respondents: 33		

#	OTHER (PLEASE SPECIFY:)	DATE
1	Chief Underwriting Officer and senior member of her staff	7/11/2019 11:52 AM
2	Senior Executives	7/10/2019 5:06 PM
3	Finance	7/9/2019 2:59 PM
4	Compliance	7/8/2019 6:13 PM
5	Asset Managers / Operations	7/8/2019 4:39 PM
6	senior executives representing all lines of business and support functions	7/8/2019 3:29 PM
7	Legal, CEO, CFO	7/8/2019 3:21 PM
8	external reporting (disclosure focus)	7/8/2019 3:18 PM
9	All of the above are represented by the senior executives on the committee	7/8/2019 2:16 PM
10	legal	7/8/2019 2:15 PM
11	Chairman, CEO, two divisions presidents, CFO, and GC	7/8/2019 2:08 PM

Q9 How frequently does the committee meet?

Answered: 30 Skipped: 160



ANSWER CHOICES	RESPONSES	
Annually	0.00%	0
Bi-annually	13.33%	4
Quarterly	60.00%	18
Monthly	16.67%	5
Other (please specify/explain:)	10.00%	3
TOTAL		30

#	OTHER (PLEASE SPECIFY/EXPLAIN:)	DATE
1	We are in the process of implementing quarterly meetings. This will be new for us. Previously, it was more ad-hoc.	7/11/2019 11:52 AM
2	As needed	7/9/2019 3:09 PM
3	6 times per year	7/8/2019 2:44 PM