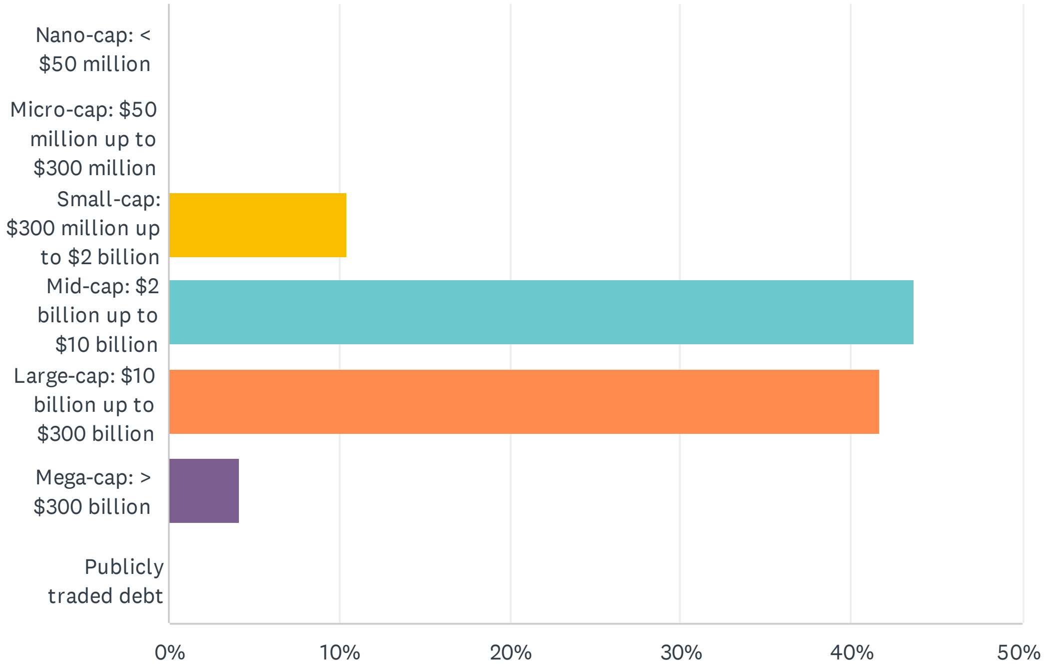
Q1 What is your company's approximate market capitalization?

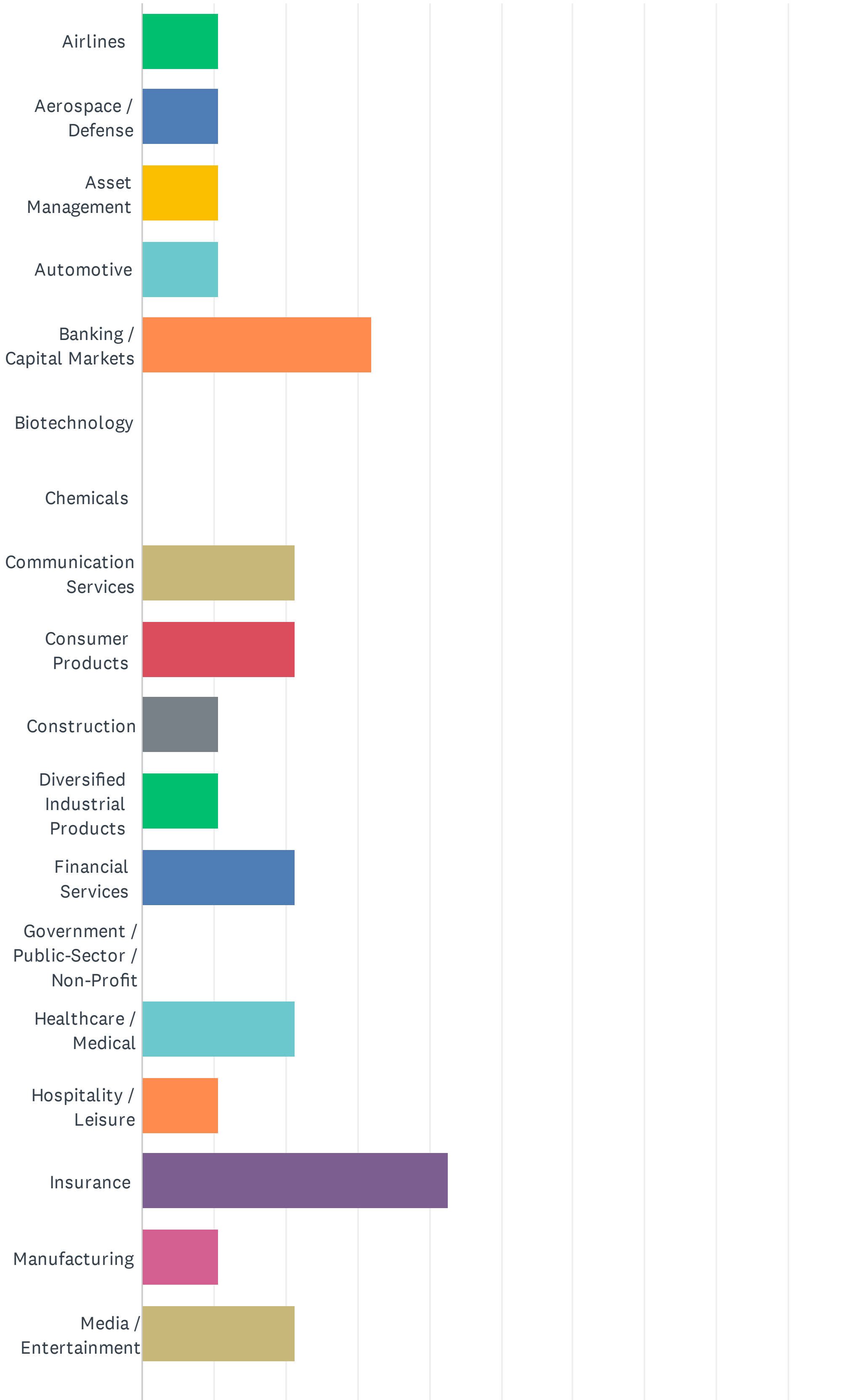
Answered: 48   Skipped: 1



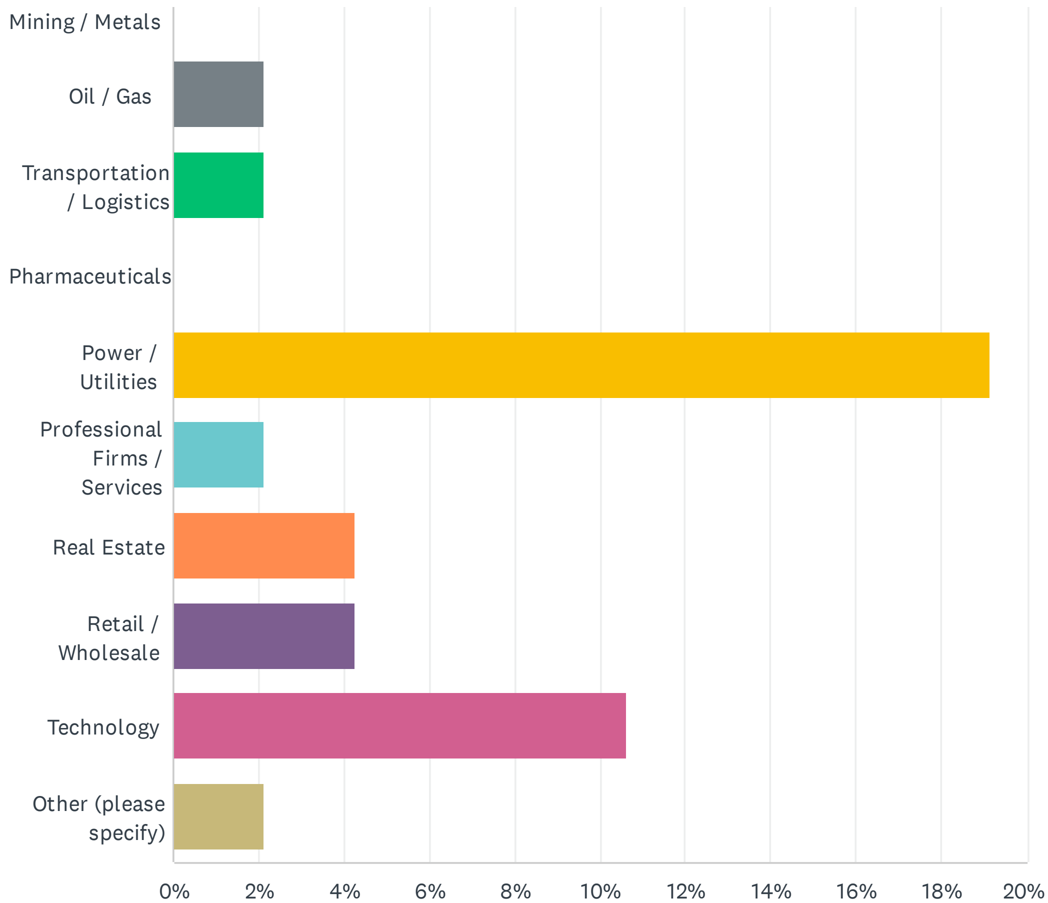
ANSWER CHOICES	RESPONSES	
Nano-cap: < $50 million	0.00%	0
Micro-cap: $50 million up to $300 million	0.00%	0
Small-cap: $300 million up to $2 billion	10.42%	5
Mid-cap: $2 billion up to $10 billion	43.75%	21
Large-cap: $10 billion up to $300 billion	41.67%	20
Mega-cap: > $300 billion	4.17%	2
Publicly traded debt	0.00%	0
TOTAL		48

Q2 What is your company's/organization's primary industry or focus area?

Answered: 47 Skipped: 2



Litigation Oversight and Reporting to the Board

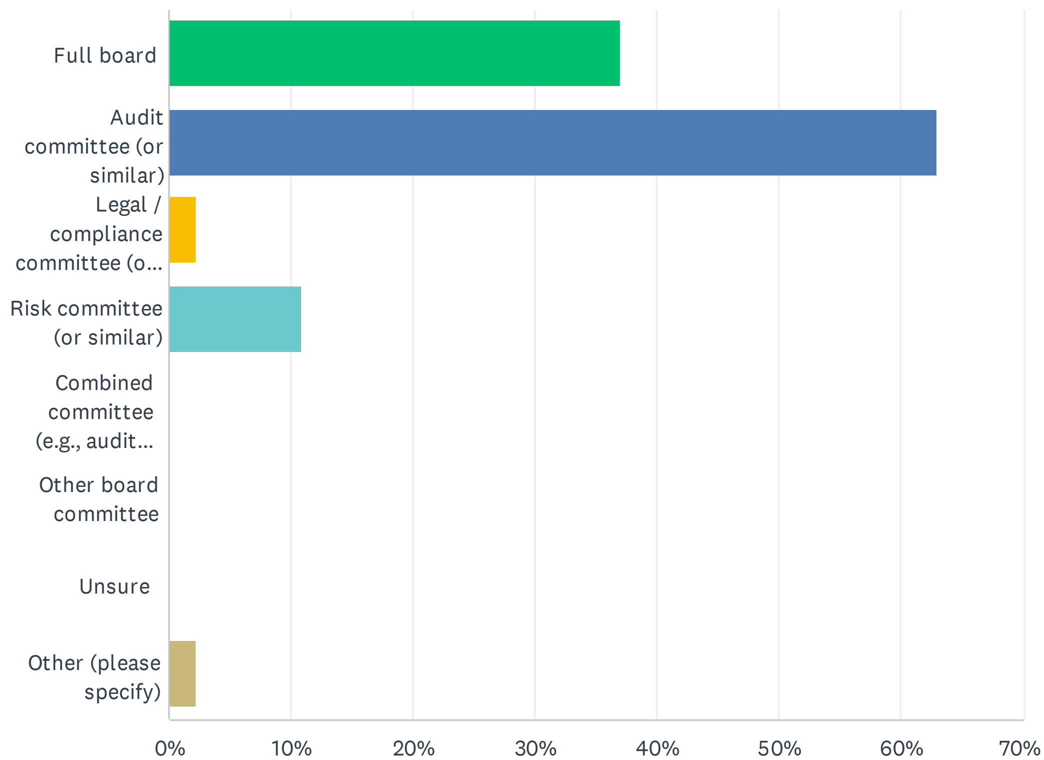


ANSWER CHOICES	RESPONSES	
Airlines	2.13%	1
Aerospace / Defense	2.13%	1
Asset Management	2.13%	1
Automotive	2.13%	1
Banking / Capital Markets	6.38%	3
Biotechnology	0.00%	0
Chemicals	0.00%	0
Communication Services	4.26%	2
Consumer Products	4.26%	2
Construction	2.13%	1
Diversified Industrial Products	2.13%	1
Financial Services	4.26%	2
Government / Public-Sector / Non-Profit	0.00%	0
Healthcare / Medical	4.26%	2
Hospitality / Leisure	2.13%	1
Insurance	8.51%	4
Manufacturing	2.13%	1
Media / Entertainment	4.26%	2
Mining / Metals	0.00%	0
Oil / Gas	2.13%	1
Transportation / Logistics	2.13%	1
Pharmaceuticals	0.00%	0
Power / Utilities	19.15%	9
Professional Firms / Services	2.13%	1
Real Estate	4.26%	2
Retail / Wholesale	4.26%	2
Technology	10.64%	5
Other (please specify)	2.13%	1
TOTAL		47

#	OTHER (PLEASE SPECIFY)	DATE
1	Agribusiness	5/14/2026 10:09 AM

Q3 Where does primary board-level oversight of litigation matters lie? Select all that apply. (Note: Primary oversight refers to where responsibility is understood to reside, regardless of reporting.)

Answered: 46 Skipped: 3

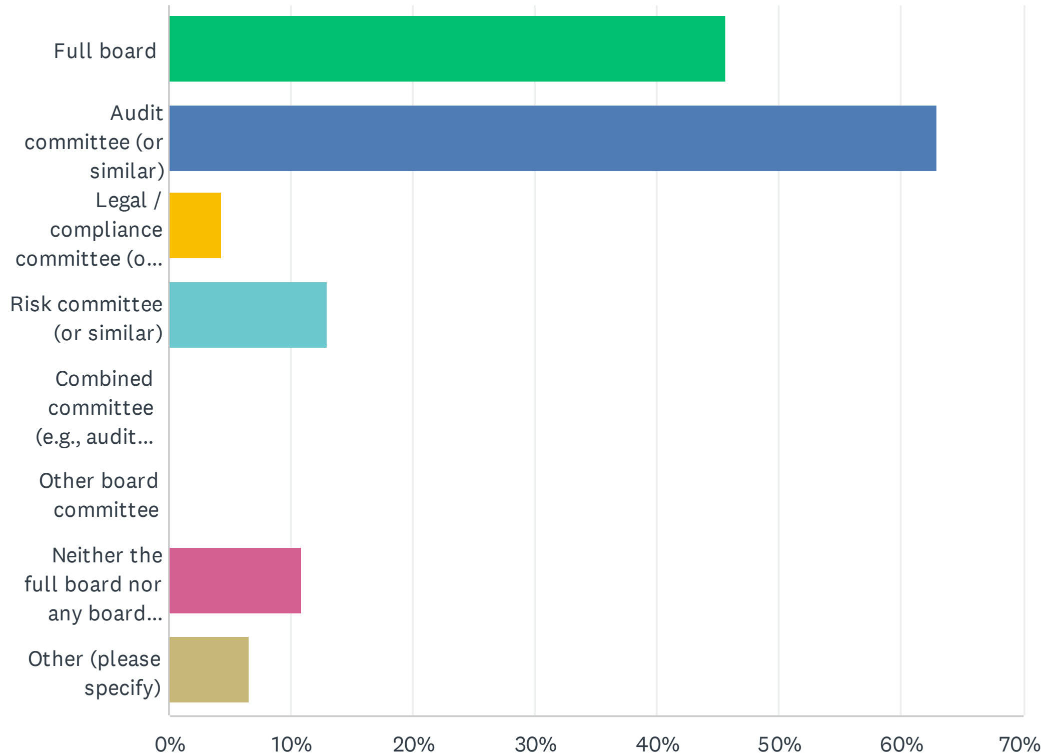


ANSWER CHOICES	RESPONSES	
Full board	36.96%	17
Audit committee (or similar)	63.04%	29
Legal / compliance committee (or similar)	2.17%	1
Risk committee (or similar)	10.87%	5
Combined committee (e.g., audit & risk; risk & compliance)	0.00%	0
Other board committee	0.00%	0
Unsure	0.00%	0
Other (please specify)	2.17%	1
Total Respondents: 46		

#	OTHER (PLEASE SPECIFY)	DATE
1	It depends on the nature of the litigation. If the issues relate to a particular committees duties than the reports are made to that committee. Otherwise litigation updates are given to the full board.	5/13/2026 3:50 PM

Q4 Which of the following regularly receive(s) litigation reports from management (e.g., from General Counsel or Chief Compliance Officer)? Select all that apply.

Answered: 46 Skipped: 3



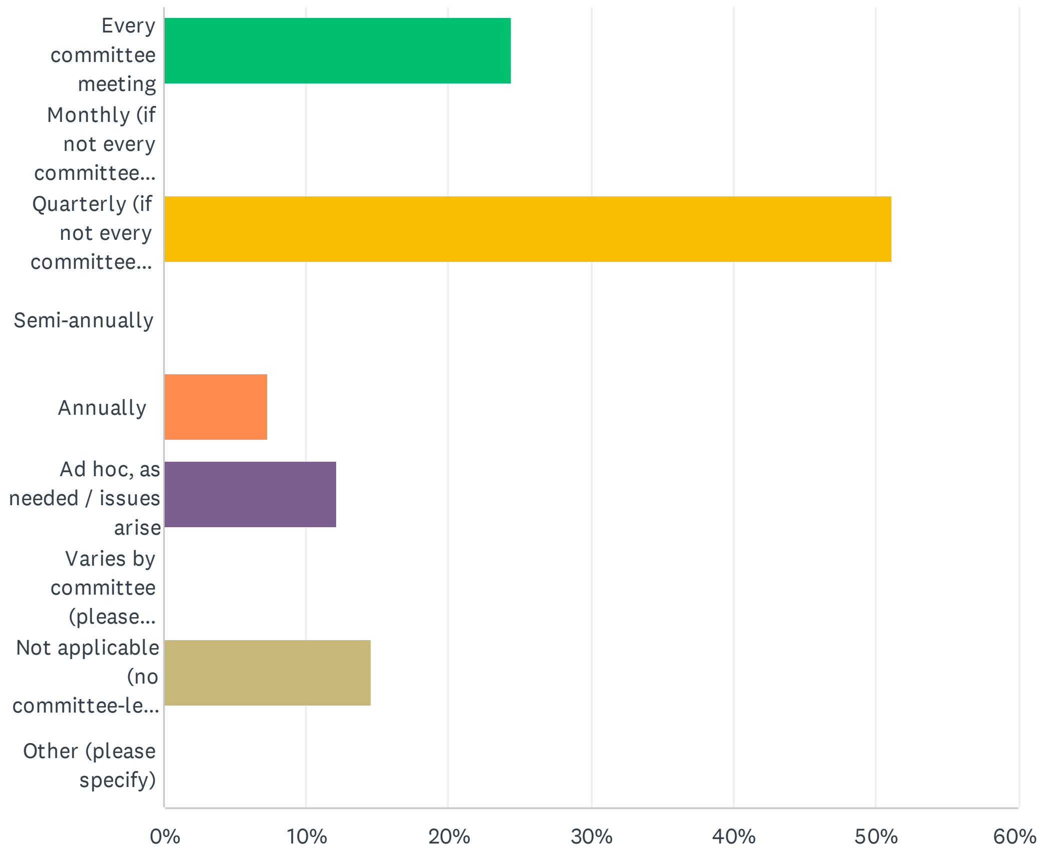
ANSWER CHOICES	RESPONSES	
Full board	45.65%	21
Audit committee (or similar)	63.04%	29
Legal / compliance committee (or similar)	4.35%	2
Risk committee (or similar)	13.04%	6
Combined committee (e.g., audit & risk; risk & compliance)	0.00%	0
Other board committee	0.00%	0
Neither the full board nor any board committee regularly receive litigation reports from management	10.87%	5
Other (please specify)	6.52%	3
Total Respondents: 46		

#	OTHER (PLEASE SPECIFY)	DATE
1	Only reported as needed.	5/29/2026 10:47 AM
2	Full board - as needed	5/22/2026 12:13 PM

3	Full board too if justified	5/13/2026 3:27 PM

Q5 For board committee(s), if any, regularly receiving litigation reports, what is the typical cadence of such reporting? Select all that apply. (Note: full board reporting cadence and scope are addressed later in the survey.)

Answered: 41 Skipped: 8

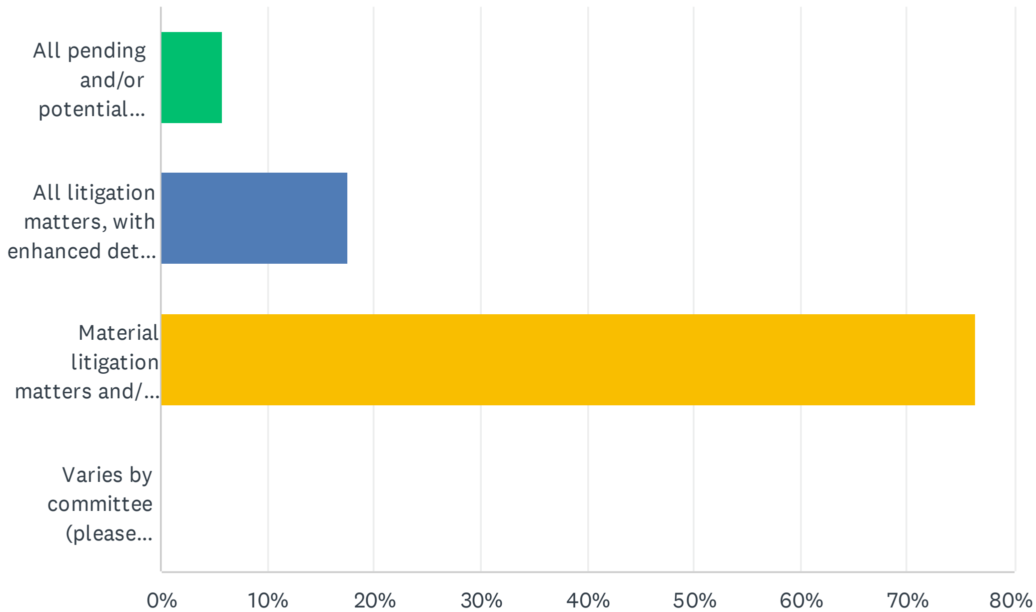


ANSWER CHOICES	RESPONSES	
Every committee meeting	24.39%	10
Monthly (if not every committee meeting)	0.00%	0
Quarterly (if not every committee meeting)	51.22%	21
Semi-annually	0.00%	0
Annually	7.32%	3
Ad hoc, as needed / issues arise	12.20%	5
Varies by committee (please describe in Other box)	0.00%	0
Not applicable (no committee-level reporting)	14.63%	6
Other (please specify)	0.00%	0
Total Respondents: 41		

#	OTHER (PLEASE SPECIFY)	DATE
	There are no responses.	

Q6 For board committee(s) regularly receiving litigation reports, what is the typical scope of such reporting?

Answered: 34   Skipped: 15

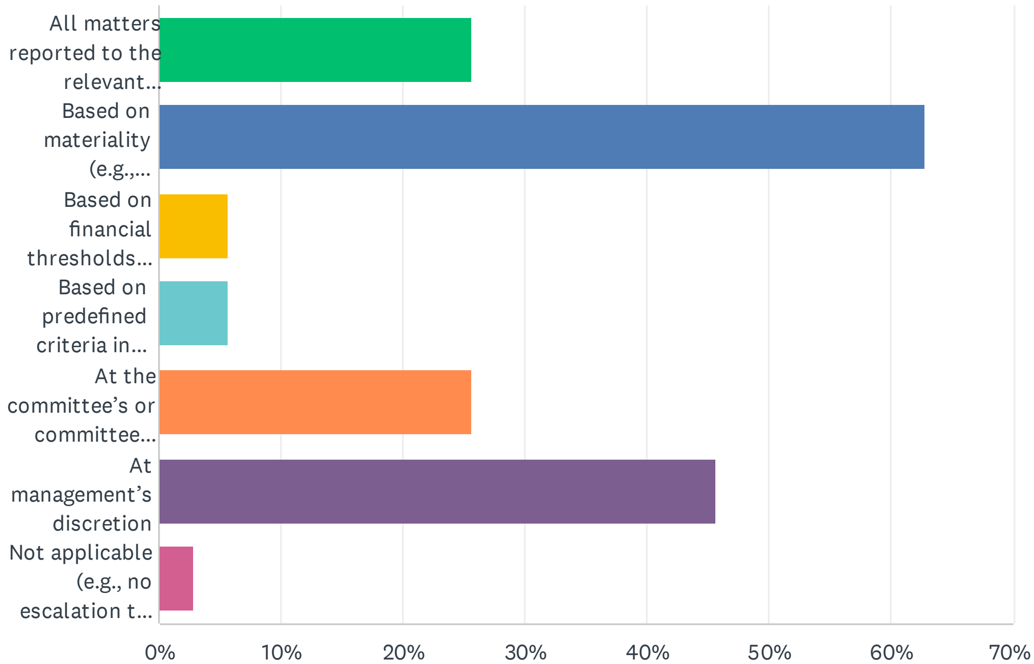


ANSWER CHOICES	RESPONSES	
All pending and/or potential litigation matters and/or legal proceedings	5.88%	2
All litigation matters, with enhanced detail on material matters	17.65%	6
Material litigation matters and/or legal proceedings only	76.47%	26
Varies by committee (please describe in Other box)	0.00%	0
TOTAL		34

#	OTHER (PLEASE SPECIFY)	DATE
1	Material litigation plus trends generally in specific areas that are common in our business	5/13/2026 9:38 PM
2	committees do not receive ligitation reports	5/13/2026 3:14 PM

Q7 If litigation matters are initially reported at the committee level, what criteria, if any, are used to escalate such matters to the full board? Select all that apply.

Answered: 35   Skipped: 14

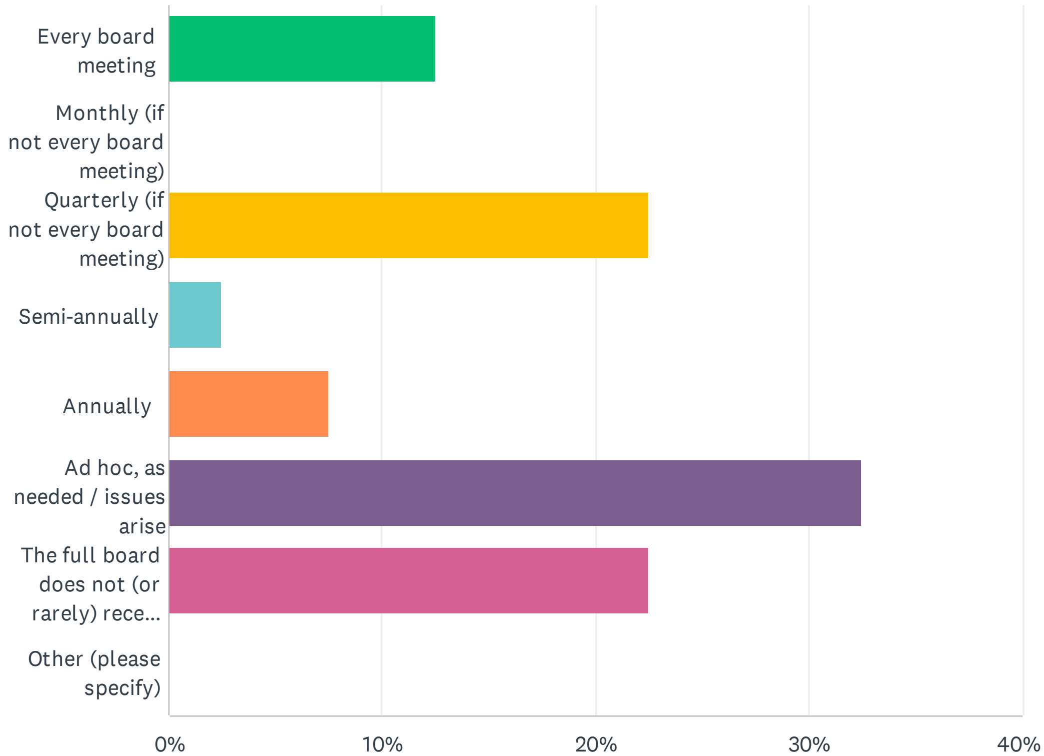


ANSWER CHOICES	RESPONSES	
All matters reported to the relevant committee(s) are also reported to the full board	25.71%	9
Based on materiality (e.g., financial, legal, operational, or reputational impact)	62.86%	22
Based on financial thresholds (please specify in Other box if applicable)	5.71%	2
Based on predefined criteria in governance documents	5.71%	2
At the committee's or committee chair's discretion	25.71%	9
At management's discretion	45.71%	16
Not applicable (e.g., no escalation to the full board)	2.86%	1
Total Respondents: 35		

#	OTHER (PLEASE SPECIFY)	DATE
	There are no responses.	

Q8 If the full board receives regular litigation reports directly from management (i.e., not as part of committee escalation), what is the typical cadence of such reporting?

Answered: 40 Skipped: 9

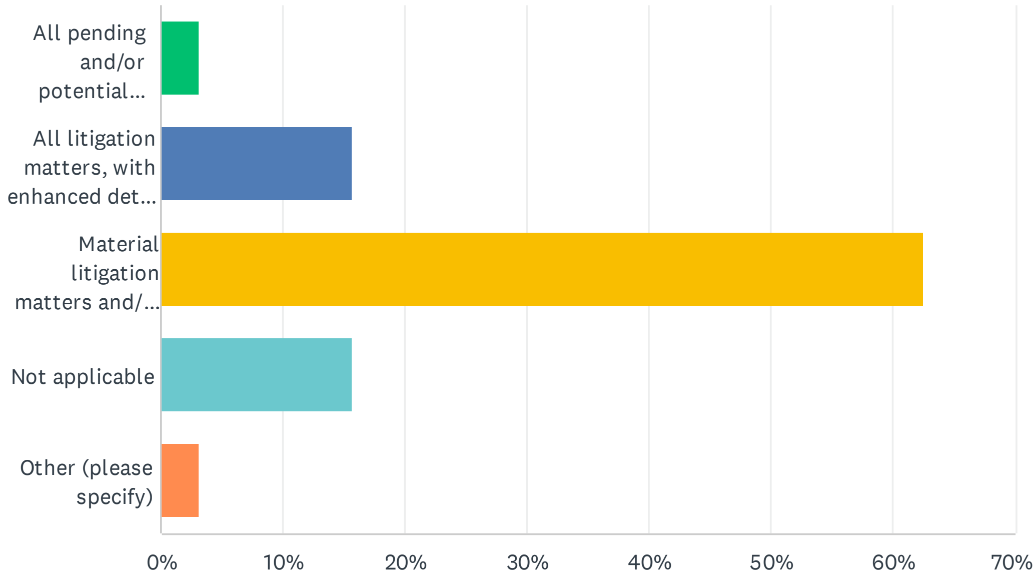


ANSWER CHOICES	RESPONSES	
Every board meeting	12.50%	5
Monthly (if not every board meeting)	0.00%	0
Quarterly (if not every board meeting)	22.50%	9
Semi-annually	2.50%	1
Annually	7.50%	3
Ad hoc, as needed / issues arise	32.50%	13
The full board does not (or rarely) receive regular litigation reports directly from management	22.50%	9
Other (please specify)	0.00%	0
TOTAL		40

#	OTHER (PLEASE SPECIFY)	DATE
	There are no responses.	

Q9 If the full board receives regular litigation reports directly from management (i.e., not as part of committee escalation), what is the typical scope of such reporting?

Answered: 32   Skipped: 17



ANSWER CHOICES	RESPONSES	
All pending and/or potential litigation matters and/or legal proceedings	3.13%	1
All litigation matters, with enhanced detail on material matters	15.63%	5
Material litigation matters and/or legal proceedings only	62.50%	20
Not applicable	15.63%	5
Other (please specify)	3.13%	1
TOTAL		32

#	OTHER (PLEASE SPECIFY)	DATE
1	Material litigation but also litigation that is deemed to be of interest to the Board despite financial immateriality.	5/13/2026 6:40 PM