

# Local Only/Student/Retired Membership Application Form



2025-26 Application

CFA Charterholders must apply online through CFA Institute, not with this form.

## Contact Information

Name

First

Middle

Last

Preferred Email

Email Type

Work

Personal

Preferred Phone

Phone Type

Work

Personal

## Application Checklist

Membership Application Form

Professional Conduct Statement

Copy of resume

### Reference Form(s)

- Local-only applicants need 2 references, and 1 must be a CFA Society Minnesota Member
- Student applicants need only 1 reference, who can be a professor

Proof of passing either Level 1 of the CFA Exam OR the "Self-Test for the Standards of Practice Handbook."

Contact us for instructions.

### Dues

- New Local-only - \$25 for the first year of membership
- Students - Free while a student
- Retired (age 70+) - Free

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*This application is intended for individuals who are only joining CFA Society Minnesota. To join CFA Institute, please visit [cfainstitute.org/membership](http://cfainstitute.org/membership)*

## Applicant Information

Local Membership Type	Professional	Student	Retired
Do you intend to pursue the CFA Charter?	Yes	No	Undecided

## Employer/School Information

Employer/School		
Title		
Street Address	Floor/Suite	
City/State/Zip		
Phone		

## Home Information

Employer/School		
Title		
Street Address	Floor/Suite/Apt	
City/State/Zip		
Phone		

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## Credential Information

Are you a CFA Candidate? Yes \_\_\_\_\_ No \_\_\_\_\_ Candidate # \_\_\_\_\_

Have you passed Level I? Yes \_\_\_\_\_ No \_\_\_\_\_ Exam Level \_\_\_\_\_

### Professional Designations (Check all that apply)

Certified Public Accountant (CPA)

Certified Financial Planner (CFP)

Chartered Investment Counselor (CIC)

Chartered Accountant (CA)

Certified Management Accountant

Other \_\_\_\_\_

## Education

Employer/School	Highest Degree Received	Years Attended/Graduation
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Employer/School	Highest Degree Received	Years Attended/Graduation
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Employer/School	Highest Degree Received	Years Attended/Graduation
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## Work History

Current Employer (If student, school)	Dates	From	To
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Current Job Description or Course of Study			
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Previous Employer (If student, school)	Dates	From	To
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Previous Job Description or Course of Study			
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## References

Reference #1	Employer	Member Society Name
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Reference #1	Employer	Member Society Name
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## Professional Conduct Statement

Answer the following five questions by checking either yes or no at the end of each question. Note that any matter described in the following questions must be disclosed, even if the matter is still pending.

In the last five years, have you been:

1. The subject of, a defendant in, or a respondent in any investigation, civil litigation, arbitration, or other action or proceeding in which your professional conduct, in either a director or supervisory capacity, was at issue?

Yes                    No

2. The subject of a written complaint regarding your professional conduct in either a director or supervisory capacity?

Yes                    No

3. Permanently or temporarily prevented from: (i) acting as a person required to be registered under any law or regulation (i.e., investment adviser, broker, dealer, etc.); (ii) acting as an affiliated person or employee of any entity required to be registered under any law or regulation (i.e., investment company, bank, etc.); or (iii) trading on any securities or contract market?

Yes                    No

4. Found to have aided, abetted, counseled, commanded, induced, or procured the violation by any person or entity of any securities or commodities-related law or regulation or any rule promulgated hereunder?

Yes                    No

5. Convicted of (i) any felony or other crime punishable by more than one year in prison, or (ii) a misdemeanor involving moral turpitude (lying, cheating, stealing, or other dishonest conduct) or any substantially equivalent crime in any court of law?

Yes                    No

*If you answered yes to any of the above, please explain:*

## Member's Agreement

I have read, understand, and agree to comply with the CFA Society of Minnesota's (CFAMN) Articles of Incorporation, Bylaws, CFA INSTITUTE Code of Ethics, Standards of Professional Conduct, Rules of Procedure from Proceedings Related to Professional Conduct, and other rules and regulations established by CFA INSTITUTE, as amended from time to time. I understand that I will be subject to suspension or revocation of CFA membership for violation of CFA INSTITUTE's Rules and Regulations.

I represent that my response to the Professional Conduct Statement and Member's Agreement, and all information provided by me herein is truthful and complete, and I agree to notify CFA of any material changes to my response to the forgoing statements.

Signature

Date

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