

JOBLINE202507_01

2025/7/1

1.Job Title.

Compliance Lead Specialist

2.Minimum CFA Qualification

Level I Candidate

3.Job Location (City / Country).

Tokyo, Japan

4.Annual Base Salary.

8.9 - 10M yen

5.Other Benefits

Target Bonus would be 37% of Annual Base Salary

6.Company Description

At MFS, you will find a culture that supports you in doing what you do best. Our employees work together to reach better outcomes, favoring the strongest idea over the strongest individual. We put people first and demonstrate care and compassion for our community and each other. Because what we do matters – to us as valued professionals and to the millions of people and institutions who rely on us to help them build more secure and prosperous futures.

7.Job Description and Responsibilities

The Compliance Lead Specialist will interact with Compliance Department personnel and assigned business units in related regulatory and client compliance requirements. Train compliance professionals and key business stakeholders on regulatory and client requirements and support the management of assigned business units by providing guidance and direction to its staff. The role may also involve participation in other Compliance initiatives and projects.

WHAT YOU WILL DO

- Manage assigned business partner relationships by attending status meetings, responding to questions, communicating compliance requirements and delivering on stated review turnarounds and standards.
- Assist with the research of regulatory issues and provide appropriate advice and guidance to internal stakeholders to assist in achieving and maintaining compliant operations and processes.
- Liaise with regulators (FSA/KLFB) as well as self-regulatory bodies in Japan, to ensure compliance with applicable laws and regulations, including but not limited to handling of regulatory inquiries, filings, reporting, and examinations.
- Review sales and marketing materials, documents and external communication to ensure compliance with applicable laws and regulations.
- Recommend and draft new guidelines and procedures.
- Respond proactively to requests for assistance from the business, research issues, provide advice and guidance.
- Use influencing skills to ensure that the appropriate solutions are agreed.
- Keep abreast of and communicate changes in regulatory rules, guidelines and interpretations affecting compliance materials.
- Keep updated on global regulatory updates and interpretive releases. With senior managers, collaborate with Legal to assess implications of new rules and regulations related to assigned area, apprise others of developments, and recommend courses of action as appropriate.
- Provide compliance related training to personnel related to areas of responsibility and junior members of the team.
- Participate in other projects and compliance initiatives and work with relevant colleagues within the wider Compliance team, where required.

-Support other global initiatives/functions as required in assigned region.

8.Job Description and Responsibilities

9.Submit Resume?

Yes

10.Submit Salary History?

No

11.Submit Expected Salary?

Yes

No

12.Any Additional Information or Comments?

13.Company Contact Name.

Yulica Hagino

14.Title at Company

15.Company Name.

MFS Investment Management K.K.

16.Company Address

Daido-Seimei Building 16F, 1-4-2 Kasumisaseki Chiyoda-ku, Tokyo Japan

17.Telephone Number.

81-3-5510-8142

18.Email Address.

yhagino@mfs.com

19.Specific Instructions

Your application to the position is accepted through Workday.

https://mfs.wd1.myworkdayjobs.com/MFS-Careers/job/Japan/Compliance-Lead-Specialist_MFS-231167