

# Professional's World

## Brokerage Finance Specialist

### About the job

#### Position Overview:

We are seeking a talented **Brokerage Finance Specialist** with 2 years of experience in the brokerage industry to manage and oversee the financial operations of our firm with a focus on U.S. market regulations and activities. The ideal candidate will have a strong financial background, a good understanding of trading operations, and the ability to handle regulatory compliance within capital markets. This is an excellent opportunity for professionals looking to build on their experience and contribute to a dynamic brokerage environment remotely.

#### Key Responsibilities:

- **Financial Operations Oversight:**
- **Manage and assist in financial operations related to brokerage activities, ensuring the smooth execution of transactions.**
- Support **Accounts Receivable & Payables**, ensuring accurate processing of financial transactions.
- Help prepare and review **financial reports, statements**, and summaries, ensuring they align with the firm's goals and regulations.
- **Trading Transaction & Account Monitoring:**
- **Monitor and track trading transactions** to ensure compliance with financial policies.
- Assist in reviewing and reconciling **client accounts**, ensuring accuracy in financial reporting and transactions.
- Coordinate with **traders** and **clearing houses** to verify trade execution and settlement.
- **Regulatory Compliance:**
- **Assist in ensuring compliance with U.S. capital market regulations** (e.g., SEC, FINRA) and help maintain adherence to local and international standards.
- Keep up-to-date with regulatory changes to ensure the firm remains compliant.
- **Risk Management & Margin Handling:**
- **Help in risk assessment** by tracking client positions, transactions, and margin requirements.
- Assist in **margin management**, ensuring clients and the firm comply with leverage and risk exposure guidelines.
- **Client & Stakeholder Liaison:**
- **Act as a point of contact for clients to address financial queries and provide financial support.**
- Work closely with **internal teams, traders, and clients** to ensure smooth financial operations.
- **Reporting & Financial Analysis:**
- **Assist in preparing financial reports** for internal stakeholders, including profit and loss statements and balance sheets.
- Conduct basic financial analysis, offering insights and recommendations for improvements in financial operations.

### Key Qualifications & Skills:

- **Educational Background:**
- **A Bachelor's degree in Finance, Accounting, Economics**, or a related field is required.
- Certifications such as **CFA, CPA**, or **FRM** are a plus but not mandatory.
- **Experience:**
- **2+ years** of experience in **financial operations, analysis**, or brokerage services.
- Understanding of **trading operations, account monitoring**, and basic **capital markets** concepts.
- Some familiarity with **U.S. market regulations** and compliance standards (e.g., SEC, FINRA) is desirable but not mandatory.
- **Technical Skills:**
- **Proficiency in financial software** (Excel, financial modeling tools) and the ability to generate financial reports.
- Familiarity with **trading platforms** and clearing houses is a plus.
- **Soft Skills:**
- **Strong attention to detail** and ability to manage multiple tasks effectively.
- Good communication skills with the ability to liaise effectively with **clients, traders**, and internal teams.
- Strong analytical mindset with a keen ability to spot trends and potential issues in financial data.

### Desirable Attributes:

- Ability to work **independently** in a remote setup while handling financial operations for the brokerage.
- Basic understanding of **global financial markets** and the operations of **brokerage firms**.
- Ability to thrive in a fast-paced, high-pressure environment while maintaining a keen focus on accuracy and compliance.

### Compensation & Benefits:

- Competitive salary based on experience.
- performance-based bonus opportunities.
- A great learning environment in a rapidly evolving industry with opportunities to grow in financial and regulatory expertise.

To apply: <https://www.linkedin.com/jobs/view/4219175754>