



# **CFA Society Columbus**

**SOCIETY NEWSLETTER**  
**Q3 2023**

In this edition of the CFA Society Columbus newsletter:

- Upcoming society events
- Meet our new Columbus Society board members
- An update on the mentorship program for 2023/2024
- Thank you, society sponsors!

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CFA Society Columbus

If you would like to submit content for future newsletters, please contact [Lara Hoffmans, CFA](mailto:lhoffmans@diamond-hill.com) at [lhoffmans@diamond-hill.com](mailto:lhoffmans@diamond-hill.com)

### **2023-2024 Columbus Board Members**

**Daniel Hadden, CFA** –President  
**Chris Brinich, CFA** – Vice President/Education  
**Aron Lau, CFA** – Treasurer  
**Pete Klingelhofer, CFA** – Asst. Treasurer  
**Josiah Huber, CFA** – Secretary  
**Chi Nguyen, CFA** – Programming  
**Neha Parashar, CFA** – Mentorship  
**Bolon Cao, CFA** – Research Challenge  
**Jeff Liu, CFA** – Assistant Research Challenge  
**Lara Hoffmans, CFA** – Mentorship/Communications  
**Christian Hviid, CFA** – Past President  
**Jennifer Mosely** – Membership

## Upcoming events

Chi Nguyen, CFA, – Programming Chair

Don't forget our **monthly happy hours!** Held at rotating locations around the Columbus area.

Speaker	Title	Date
	<a href="#">Golf outing @ Medallion Golf Club</a> <a href="#">Register</a>	September 11
David Blanchett, Head of Retirement Research, PGIM	Redefining the Optimal Retirement Income Strategy <a href="#">Lunch @ Sheraton on Capital Square</a> <a href="#">Register</a>	September 12
David Manzano, Managing Director – Product Specialist Team, Golub Capital	The Case for Middle Market Lending <a href="#">Lunch @ Sheraton on Capital Square</a> <a href="#">Register</a>	September 27
Richard Pzena	Value Opportunities in an Uncertain World <a href="#">Lunch @ Sheraton on Capital Square</a> <a href="#">Registration page to come ...</a>	October 2

If you have any ideas for future speakers, please email Chi at [chinguyen.nyc@gmail.com](mailto:chinguyen.nyc@gmail.com).

## Meet our new CFA board members



**Josiah Huber, CFA**  
Secretary

Josiah Huber, CFA, leads DiPerna's real estate development and public-private partnership ("P3") financial advisory practice, delivering unique financial solutions for its clients. Since 2012, Josiah has led or assisted in the structuring and closing of over \$1 billion in capital. Often monetizing government incentives through the issuance of revenue bonds, Josiah is skilled in structuring bond offerings suitable for the Capital Markets without any governmental credit enhancement. Recent accomplishments include serving as structuring agent in raising over \$150 million in incentive-backed revenue bonds for the master-planned Bridge Park community in Dublin, OH; closing over \$50 million in tax increment revenue (TIF) notes and bonds for the Red Cedar master-planned brownfield redevelopment adjacent to Michigan State University, structuring the sale of TIF bonds utilizing a national municipal bond issuer for a warehousing facility in Aurora, IL, and closing of approximately \$150 million in special parking revenue backed bonds for various parking facilities in Cincinnati, OH.

Harnessing DiPerna's long-history of financial innovation, Josiah has created tailored financial products for some of his clients in response to their development and portfolio challenges. In Cincinnati, Josiah guided the formation of a master parking facility program in which his client pooled its parking facility portfolio into a cross-collateralized, open trust indenture -- allowing the client to issue notes to capitalize the assets on a long-term, low fixed rate basis. Seeking to capture market share in the C-PACE industry, Josiah partnered with a financial institution in creating a C-PACE financing solution with distinctive financing terms that are ideal for new construction projects.

Josiah graduated from Ohio Wesleyan University with a Bachelor of Arts degree, concentrated in Finance, Accounting and Economics. He serves as an advisor to the Bridge Park New Community Authority and Randall Residence New Community Authority and is a board member to the Ohio Wesleyan University's Woltemade Center and Columbus CFA Society. He maintains active Series 50 and Series 54 licenses, and inactive Series 7 and 63 licenses.

### **What got you into your investment/financial services career?**

I stumbled into a career in investment banking while I was an intern at a local Columbus law firm (Schottenstein Zox and Dunn at the time, now Ice Miller). My prior boss and good mentor, Steve Schoeny, nudged me towards a career in project finance/economic development.

### **Why do you think a CFA charter is important?**

The CFA charter has helped set me apart on the sell side of investment banking when interacting with our current and prospective network of investors.

### **What do you do in your spare time?**

In my spare time, I enjoy planning my next vacation (currently in Greece).

### **What is a fun fact many don't know about you?**

Most don't know I was a DJ in college.



## **Pete Klingelhofer, CFA**

### **Assistant Treasurer**

Pete Klingelhofer, CFA, has nearly 25 years of active investment experience with expertise in portfolio management and global asset allocation. During his career, he has directed the investment and management of several public asset classes and sectors, including basic materials, emerging markets, technology, US large cap value and international small cap equities. Prior to joining Hamilton Capital, Pete served as portfolio manager for the JP Morgan Multi-Asset Solutions team and most recently acted as CIO for a mid-sized wealth management firm.

Pete spent the first 17 years of his career at State Teachers Retirement System of Ohio (STRS) as an analyst and a portfolio manager. As Managing Director, Investment Management for Hamilton Capital, Pete believes in a conscientious approach to portfolio management and the overall investment process designed to achieve attractive long-term investment results.

Pete and his wife, Suzanne, are long-time Bexley residents. They have five children and are both quite active in their community. Pete currently serves as Risk Manager for the Columbus Chapter of CISV USA and has been a youth lacrosse coach for over 10 years.

#### **What got you into your investment/financial services career?**

I became a portfolio manager because I thought there would be lifelong learning about a variety of industries and exposure to innovation and new developments that would impact society.

#### **Why do you think a CFA charter is important?**

Earning a CFA charter is essential for practical analysis and valuing enterprises. It is worthwhile for so many career directions. Tremendous value for life.

#### **What do you do in your spare time?**

I enjoy partaking in the 50+ triathlon – hiking, paddleboarding and pickle ball.

#### **What is a fun fact many don't know about you?**

I was a National Park Ranger in 1991 in Grand Teton National Park. As a result, I have sons named Jackson and Wilson!



## **Shengde (Jeff) Liu, CFA**

### **Assistant Research Challenge Chair**

Jeff Liu, CFA, is an experienced portfolio manager, managing partner, and CIO of Access Wealth Group. He founded and serves as board chairman of Aurimax Investment Club. He previously held the position of managing director and played a crucial role in Hamilton Capital's Investment Team, managing over \$3 billion in equity and fixed asset portfolios. Jeff has worked with various financial organizations, including Prudential Securities, Merrill Lynch and Meeder Financial, excelling in financial advisory, financial planning, portfolio construction and optimization, investment analysis, and risk management. He earned two master's degrees from Ohio University in economics and international affairs and an MBA from The Ohio State University Fisher College of Business.

#### **What got you into your investment/financial services career?**

I pursued a career in investment/financial services due to my economic background and passion for the field. As a student in economics, I have a good understanding of the uncertainty, the challenge of the investment world, and its importance to people's lives.

#### **Why you think a CFA charter is important?**

The CFA charter is to investment professionals who demonstrate a strong understanding of finance, including investment analysis, portfolio management, ethical and professional standards, economics, and quantitative methods. The CFA program is known for its academic rigor, and candidates invest a significant amount of time and effort into preparing for each exam. The designation holds substantial value in the finance industry and is recognized globally as a mark of excellence in investment analysis and portfolio management.

#### **What do you do in your spare time?**

I enjoy playing tennis and doing yard work in my free time. Tennis is a great way to stay fit, while yard work provides a nice break from analyzing investments. I love watching things grow, which is similar to the process of long-term investing.

#### **What is a fun fact many don't know about you?**

I'm trying hard to learn new games so I can better communicate with my son, but I always seem to fall behind. What a digital age we are in!





## **Chi Nguyen, CFA**

### **Programming Chair**

Chi Nguyen, CFA, is the Senior Investment Analyst at Drexel University's Investment Office. She is a part of the team that manages \$1 billion across different asset classes. Her responsibilities include investment due diligence, portfolio monitoring, risk analytics, and reporting. Prior to joining Drexel, Chi was an Investment Analyst at her alma mater, Denison University. She also holds the CAIA charter and was recognized as one of Kayo's Top 22 in 2022: Endowment Investing's Northeast Rising Stars.

#### **What got you into your investment/financial services career?**

My journey into the investment and financial services career was influenced by a blend of experiences and passions. Fascination with understanding how money operates in our complex world drove me to delve into finance. What truly motivates me, however, is the opportunity to align my expertise with an institution's noble mission, which gives my career a sense of purpose and fulfillment. I was lucky enough to get my first job out of college supporting my alma mater and now Drexel University.

#### **Why do you think a CFA charter is important?**

Earning my CFA charter has been an empowering journey. Beyond its reputation for excellence, the CFA charter has equipped me with the analytical tools and ethical framework necessary to navigate the complexities of the field. Holding the charter has not only opened doors professionally but also allowed me to challenge preconceptions about gender roles in finance, breaking down barriers and contributing to a more inclusive future for women in this field.

#### **What do you do in your spare time?**

When I find a spare moment, I enjoy outdoor activities such as biking, hiking, mushroom foraging and kayaking. I have a deep passion for travel and value making meaningful connections! This summer, I've been learning tennis, golf, and dance. Exploring different cuisines and experimenting in the kitchen also piques my interest, while concerts and festivals hold a special place in my heart. If you are looking for a tennis partner, let me know!

#### **What is a fun fact many don't know about you?**

If I weren't engaged in the investment field, I could easily envision myself pursuing a career as an artist. Singing and playing the piano are activities I deeply enjoy, and I'm even contemplating acquiring a DJ set in the near future!

# Mentorship program update

We are excited to be kicking off our 2023/2024 mentorship program soon – look out for details on our website or follow us on LinkedIn.

## **What is the mentorship program?**

The mentorship program connects current students considering a career in investment management with seasoned investment professionals who are CFA charterholders.

It does not provide job specific training or placement opportunities. Rather, it offers a framework to enable professional growth and expand networks.

## **What are the benefits of the program?**

For mentees, the program enables opportunities to learn about career paths, gain new perspectives on the industry, and obtain long-term skill development advice from more seasoned professionals.

For mentors, the program provides a good opportunity to cultivate coaching, communication and leadership skills that are portable across one's career. It also affords a chance to give back to the investment community and to make a positive impact on the next generation of professionals within a structured framework, and over a defined period.

## **What is my time commitment?**

Mentors and mentees should meet 4-6 times during the program's duration.

There will also be mentor-specific programming, including social events and career-building events.



## Thank you, society sponsors!

For sponsorship inquiries, please contact society president Dan Hadden, CFA, at [dhadden77@gmail.com](mailto:dhadden77@gmail.com).

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