

ALA Annual 2019 | Washington D.C.

Combined Update for the ALCTS Chief Collection Development Officers of Large Research Libraries and the ALCTS Technical Services Directors of Large Research Libraries. Summary of activities by provided by:

Erin Grant, Director for Cataloging and Metadata Services (CAMS)

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Sion Romaine, Director for Acquisitions & Rapid Cataloging Services (ARCS)

Staffing and Organizational Changes/Updates

Promotions, Arrivals, and Departures within the Collections & Content portfolio: Sion Romaine was promoted to the position of Director of Acquisitions and Rapid Cataloging Services (ARCS) in August 2018. Sion has been with the UW Libraries since 2003 serving in various roles in the Serials Services Division and ARCS. Most recently he was the Interim Director of ARCS. Steve Shadle was promoted to Head of Serials Cataloging in CAMS. He had been serving as interim head since June 2017. Steve has over 25 years of serials cataloging experience, over 20 years at UW, and awarded the UW Distinguished Librarian Award in 2015 and Ulrich's Serials Librarianship Award in 2010. In January, CAMS department launched the Metadata & Cataloging Initiatives unit to support of digital repositories and linked data, and retrospective, remedial, and enrichment cataloging. Theo Gerontakos, Metadata / Cataloging Librarian, will serve as the Interim Head of the Metadata & Cataloging Initiatives Unit. Joining the UW Libraries in August 2018, Hana Levay started in the position of Collection Assessment Librarian in the CAS department. Hana served in a temporary position in CAS starting in November 2017. This past year, ARCS department expanded by hiring two Library Specialist I, Rebecca Doxford and Federick Slyter, and promoting Nick Schockmel and Will Davis to Library Specialist II. Soon we hope to complete three successful searches -- Serials & Electronic Resources Librarian (SERL), Media Preservation Librarian (MPL), and Metadata Librarian. This fall we are planning to search for two positions -- Collection Analysis & Strategy Librarian and E-resources and Metadata Librarian. In February Karen Highum retired after 30 years of excellent service to the UW Libraries, most recently as the Head of the Database Management Unit and Authorities Librarian.

Beyond the Collections & Content portfolio: Chelle Batchelor, Interim Associate Dean for Research and Learning Services is taking new position as Dean of the Library at Western Oregon University. We are currently recruiting for the Associate Dean (AD) for Research and Learning Services (RLS). John Danneker, Director for Learning Services, will be serving as the Interim Associate Dean for RLS effective July 1.

Equity Diversity & Inclusion Recruitments: The UW Libraries began pilot implementation of best practices in collaboration with the UW Office for Faculty Advancement with requiring applicants to submit an EDI statement, and presentation and training for search committees to develop and socialize assessment rubrics for three searches -- SERL, MPL, and AD for RLS.

"Top of Mind" Topics

Unsustainable "Big Deal" Journal Packages: The Libraries has been preparing to negotiate three "big deal" journal packages - Elsevier ScienceDirect, Springer Nature, and SAGE - scheduled to end December 31, 2019. In March 2019, we [shared our thoughts](#) on how our collective values inform our strategic planning as we consider the changing landscape of scholarly communication and our future negotiations with publishers. In April and May 2019, the Libraries gained with colleagues to establish and publicly posted [Licensing Principles and Expectations for Vendors](#). Within two months (April and May 2019) the UW Libraries [obtained public support statements from the UW Faculty](#). Most recently, [UW Faculty join Elsevier Negotiations team](#).

Privacy: Vendor platforms that require user registration or employ single-sign on using University credentials create potential privacy problems without assurances from the vendor to protect the data and agreements prohibiting the sale or release of personal data to third parties. The University released a new Personal Data Processing Agreement (PDPA) to safeguard personal data collected or used by vendors. The Libraries needs to incorporate the PDPA into our licensing and negotiation workflows and establish “deal breakers” in our licensing principles for protecting user data.

Budget (Restructuring) Update

Implemented recommendations from external consultant to create more sustainable strategies for collection development. Implement the first phase of the budget structure changes. Began shift in culture from individual management to collaborative decision-making in a multi-year review of the Libraries collections budget structure and allocation process with the 2018-19 fiscal year. For more information see Charleston Conference presentations: [*Simplifying the Collections Budget to Maximize Flexibility and Increase Responsiveness to User Needs*](#) and [*Budgets On My Mind: Changing Budget Allocations to Meet Teaching and Research Needs*](#).

Collections & Content: New Programs or Initiatives

Accessibility: On October 5, 2018. The Libraries partnered with UW Accessible Technology to host the “Accessible Library Resources Day at the University of Washington” event at the Seattle campus focused on best practices for accessibility of library materials among all types of libraries (school, public, and academic) across the state and region. Total registration was 145 (in-person 71 and 66 online via Zoom). Overall feedback was positive.

Cooperative Cataloging: The Libraries recently joined the Big Ten Academic Alliance (BTAA) Cooperative Cataloging Partnership to share cataloging expertise and costs to provide access to library collections in languages and formats that cannot be done in-house.

Licensing: Published Licensing Principles and Expectations for Vendors web page <http://www.lib.washington.edu/cas/licensing-principles-and-expectations-for-vendors>

Protecting Data Privacy: Campus implementing a new “Personal Data Processing Agreement (PDPA)” to “uphold UW’s values and principles related to privacy and addresses laws and regulations that govern the protection of personal data.” The UW Libraries is working on implementing the PDPA into the licensing workflow.

Sustainable Strategies for Collection Development: Initiated a team-based subscription review process. This is not a budget-driven cancellation project but the start of a regular ongoing subscription review. As part of our budget restructure last year we collapsed the many subject serial fund lines into “fund group” serial lines. All the subscriptions within these fund group lines are being reviewed collaboratively by the subject librarians in the liaison teams.

Notable and Newsworthy

Collection Development and Acquisition Models

[The Global Press Archive \(GPA\)](#) is an initiative by East View Information Services in partnership with Stanford University Libraries and the Hoover Institution Library & Archives to digitize over 2,000 predominantly foreign-language newspaper titles ranging in coverage from the late 19th century to present times. UW Libraries is supporting Phase 1 of the Global Press Archive as part of the “Charter Alliance.”

[Infogroup Consumer and Business Historical Data](#) purchase includes the Infogroup’s Business (1997-2017) and Consumer (aka “Residential”) (2006-2017) Historical Datasets. The Business Historical dataset offers sales and employee geocoded data for companies in the United States. The Consumer Historical dataset offers geocoded household income, home value, and home-ownership data that allow researchers to track households over time. The UW Libraries is partnering with the cross-departmental UW Data Collaborative (<https://dcollab.uw.edu/>) to host and provide access to the data. This purchase and hosting arrangement is a new model for data collection development for the UW Libraries.

Preservation Department Initiatives and Developments

Currently engaging consultants from [Image Permanence Institute](#) on an 18-month project to optimize and recommend improvements for both special collections and offsite storage areas. Currently engaging [Artefactual](#) for a one-year software support contract for [Archivematica](#) to develop a sustainable and scalable infrastructure for digital

preservation. Exploring shared paper and photograph conservation services between the Henry Art Gallery and the UW Libraries with the support of The Andrew W. Mellon Foundation and a \$300,000 grant, [Collaborative Paper and Photograph Conservation](#). The goal of this award is to increase collaboration between the Henry Art Gallery and the UW Libraries (and funds a shared paper and photograph conservator, [Claire Kenny](#)). This award is jointly managed by the UW Libraries and the Henry Art Gallery and we are about halfway through the project which ends December 2020. Partnering with other institutions on two other grants funded by The Andrew W. Mellon Foundation: [Assessing the Physical Condition of the National Collection](#) and [Pacific Northwest Consortium for the Science of Cultural Heritage Conservation](#).

Cataloging Department Initiatives and Developments

The UW Libraries was invited to become a cohort member of the *Linked Data for Production: Pathway to Implementation* (LD4P Phase 2) project being led by Stanford Libraries as part of the Andrew W. Mellon grant to transition from current MARC-based systems to linked data-based workflows. As part of this project, the Libraries contributed MARC records representing the entire catalog for conversion to BIBFRAME, began to develop profiles for Sinopia (the project's cloud-based cooperative cataloging environment), and will train catalogers to create original records in BIBFRAME using the Sinopia editor.

Scholarly Communication Initiatives and Developments

Part of the work of the Open Access Policy Implementation Team included reviewing our institutional repository needs and recommending a repository that would better support faculty. The group recommended switching from DSpace to Hyrax/Samvera. We anticipate being ready to implement the policy across all three UW campus by July 1, 2020. Investments in publishing include collaborating with the University of Washington Press to open one of their long-standing book series, Studies on Ethnic Groups in China. Thirteen of the titles are openly available at this point. We're also participating in trials with PressBooks and Manifold. Jenny Muilenburg, Research Data Services Librarian, was appointed as an ARL Visiting Program Officer for Research Data.

Notable and Significant Acquisitions / Gifts

Electronic Resources:

Adam Matthew: Global Commodities

FiMO: Fire Insurance Maps Online

Newsbank: Seattle Post-Intelligencer Historical Archive 1901-1985

Proquest: American Indians and the American West; Black Thought and Culture; Indian Claims Insight; Latino Literature: Poetry, Drama, and Fiction; America Latina en Video; Royal Shakespeare Company Collection.

ProQuest Historical Newspapers: Boston Globe; Globe and Mail; Korea Times; New Amsterdam News

Non-cash Gifts: 3,863 maps created by the Japanese Imperial Army between the Meiji Era and the end of World War II, plus 79 indices. Referred to as [gaihozu](#) (外邦図), the maps illustrate the expansion of the Japanese Empire throughout Asia. Although they were prepared for military purposes, they are detailed and accurate landscape records that are attracting considerable scholarly attention from both historians and scientists. The maps and indices were acquired through the generosity of Oregon State University Libraries and Press and they supplement our collection of *gaihozu*, which were originally received from the Library of Congress in the 1960s. This gift is a testimony to the powerful benefits of cooperative collection development.

Special Collections and Archives

Grant Haller photographs, 1963-2002

KIRO-TV Channel 7 Daily News Broadcasts and Special Programs

Herbert M Bridge family photograph and film collection

Benjamin F. McAdoo architectural drawings and project files

9th Circuit Court Judge Betty Fletcher papers

Hudson's Bay Company Pacific Northwest annotated fur importation ledger, 1830-1934